

PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

BUMED Manpower Information System II (BUMIS II)

2. DOD COMPONENT NAME:

Defense Health Agency

3. PIA APPROVAL DATE:

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: (Check one. Note: foreign nationals are included in general public.)

- | | |
|---|---|
| <input type="checkbox"/> From members of the general public | <input checked="" type="checkbox"/> From Federal employees and/or Federal contractors |
| <input type="checkbox"/> From both members of the general public and Federal employees and/or Federal contractors | <input type="checkbox"/> Not Collected (if checked proceed to Section 4) |

b. The PII is in a: (Check one)

- | | |
|--|---|
| <input type="checkbox"/> New DoD Information System | <input type="checkbox"/> New Electronic Collection |
| <input checked="" type="checkbox"/> Existing DoD Information System | <input type="checkbox"/> Existing Electronic Collection |
| <input type="checkbox"/> Significantly Modified DoD Information System | |

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

BUMIS II provides an online inventory of personnel assigned to Navy Medicine. It includes demographics, education, health care specialty, additional qualifications, assignment and training skills. BUMIS II provides personnel planners at headquarters level with data about the medical personnel force that allows tracking and trends of gains, losses, and skill mix. The data is pivotal for the development of personnel strength plans, promotion plans, accession plans, and training plans and is also used for ad hoc queries responding to inquiries about Medical Department Officer force from both inside and outside the Navy Medical department.

PII collected on individuals include: name, birth date, Social Security Number (SSN), and military records to include rank, duty station, education and qualification and special pays data.

BUMIS II is owned by DHA, Solution Delivery Division, Clinical Support Program Office (PMO).

d. Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

Data is collected to maintain a high-level record of a service member's qualifications for promotion and reporting an officer's status. PII is used to lookup, as well as match records/qualifications to an individual. BUMIS II data is used in mission-related, administrative tracking and manpower planning.

e. Do individuals have the opportunity to object to the collection of their PII? Yes No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

BUMIS II does not collect PII directly from individuals. It receives data from other systems, therefore an individual does not have the opportunity to object.

f. Do individuals have the opportunity to consent to the specific uses of their PII? Yes No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

BUMIS II does not collect PII directly from individuals. It receives data from other systems, therefore an individual does not have the opportunity to consent. The system is used for special pay purposes and hospital medical staffing. The systems that gather this information consent from the individual include the Inactive Manpower and Personnel Management Information System (IMAPMIS), Total Force Manpower Management System (TFMMS), Officer Personnel Information System (OPINS), Navy Enlisted Personnel System (NES), and Naval Medical Education and Training Command (NMETC).

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)

- Privacy Act Statement Privacy Advisory Not Applicable

The data contained within BUMIS II is entirely sourced from existing systems. IMAPMIS, TFMMS, OPINS, NES, and NMETC. Individuals do not directly provides PII to BUMIS II, therefore a Privacy Act Statement (PAS) is not required.

h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component? (Check all that apply)

Within the DoD Component

Specify.

Data is shared with Navy personnel that have a need-to-know within the command. BUMIS II shares data with Inactive Manpower and Personnel Management Information System (IMAPMIS), Total Force Manpower Management System (TFMMS), Officer Personnel Information System (OPINS). BUMIS II is the only system that establishes, maintains, and tracks obligated service dates for all active duty Navy medical officers. BUMIS II also provides Headquarters-level planners with data they can use to track trends in manpower gains, losses, and skill sets. The data is critical for developing personnel strength, promotion, accession, and training plans. Information is also shared with the Navy Enlisted Personnel System (NES) and Naval Medical Education and Training Command (NMETC).

Other DoD Components

Specify.

Other Federal Agencies

Specify.

State and Local Agencies

Specify.

Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)

Specify.

22nd Century Team LLC. Clauses incorporated by reference in the BUMIS II contract include the Federal Acquisition Regulations (FAR) 52.224-1 Privacy Act Notification. Data is accessed via VPN and maintained at a government facility.

Other (e.g., commercial providers, colleges).

Specify.

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

Individuals

Databases

Existing DoD Information Systems

Commercial Systems

Other Federal Information Systems

PII is initially collected from the individual upon entry into the military system. The source of the data comes from existing DoD information systems OPINS and Navy Enlisted System (NES). No information is collected from the individual.

j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

E-mail

Official Form (Enter Form Number(s) in the box below)

Face-to-Face Contact

Paper

Fax

Telephone Interview

Information Sharing - System to System

Website/E-Form

Other (If Other, enter the information in the box below)

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

Yes No

If "Yes," enter SORN System Identifier

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or <http://dpcl.d.defense.gov/Privacy/SORNs/>

or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

I. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority.

NC1-NU-81-2

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

Retention and Disposition: Temporary. (1) Maintained at BUMED: Retain at BUMED for the duration of the member's active service. Transfer 1 year after separation from service (annual cut off date) to the Military Personnel Records Center (MPR). Destroy 10 years after date of separation. (2) Maintained at BUMED Command Activities. Destroy when 5 years old (NC1-NU-81-2). BUMIS II exclusively maintains United States Navy/Marine Corps records. The United States Navy is the exclusive authority for the BUMIS II retention and disposition instructions.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.

(2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).

(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

10 CFR 20, Standards for Protection Against Radiation, E.O. 9397 (SSN), as amended.

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes No Pending

(1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.

(2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, "DoD Information Collections Manual: Procedures for DoD Public Information Collections."

(3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

An OMB Control number is not required because BUMIS II does not collect information pertaining to members of the general public.