

PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

Dental Imaging Collaborative Environment (DICE)

2. DOD COMPONENT NAME:

United States Air Force

3. PIA APPROVAL DATE:

09/19/19

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: (Check one. Note: foreign nationals are included in general public.)

- | | |
|--|--|
| <input type="checkbox"/> From members of the general public | <input type="checkbox"/> From Federal employees and/or Federal contractors |
| <input checked="" type="checkbox"/> From both members of the general public and Federal employees and/or Federal contractors | <input type="checkbox"/> Not Collected (if checked proceed to Section 4) |

b. The PII is in a: (Check one)

- | | |
|--|---|
| <input type="checkbox"/> New DoD Information System | <input type="checkbox"/> New Electronic Collection |
| <input checked="" type="checkbox"/> Existing DoD Information System | <input type="checkbox"/> Existing Electronic Collection |
| <input type="checkbox"/> Significantly Modified DoD Information System | |

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

Dental Imaging Collaborative Environment (DICE) is an existing system of record and enclave of dental assets that makes up the enterprise digital dental imaging solution for the Air Force (AF) Dental Service. The anchoring component of DICE, Medicor Imaging Picture Archive and Communication System (MiPACS), is a Dental Picture Archive and Communication System (PACS) and digital secondary file of both the legacy and electronic dental health records for all AF active component, AF Reserve Command (AFRC) and Air National Guard (ANG) military treatment facilities (MTF) worldwide. MiPACS enables dental healthcare providers to capture, process, store and recall digital visible and radiographic images of patients in support of the delivery and documentation of related dental evaluations and care. A second component, Dolphin Imaging, is a digital orthodontic management application and digital secondary file of both the legacy and electronic dental health records used in 23 Air Force active duty clinics.

Personal Information is collected from the following groups of individuals: AF Active Duty and their dependents, AFRC, ANG, and other eligible beneficiaries. Patient images collected in a patient record in MiPACS are tagged with the patient's name, primary identifier (DOD ID and/or SSN), date of birth, and gender.

DICE is overseen by the Air Force Medical Readiness Agency's (AFMRA), Air Force Dental Information Technology, DICE Program Management Office (PMO).

d. Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

PII is used to tag the patient's dental images and associate them with the record in MiPACS and Dolphin. MiPACS provides the digital component of the dental health record that contains a patient's dental X-rays. Dolphin is an orthodontic patient management application.

e. Do individuals have the opportunity to object to the collection of their PII? Yes No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

In accordance with the Privacy Act of 1974, submission of information is voluntary at time of appointment. If an individual chooses not to provide their information, no penalty may be imposed, but absence of the requested information may result in administrative delays.

MiPACS provides the digital component of the dental health record that contains a patient's dental X-rays.

f. Do individuals have the opportunity to consent to the specific uses of their PII? Yes No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

Consent to the specific uses of PII is obtained as necessary, in accordance with DoD 5400.11-R, DoD Privacy Program, C4.1.3. PHI is collected for permitted uses and disclosures as set forth by DoDM 6025.18, Implementation of the Health Insurance Portability and Accountability Act (HIPAA) Privacy Rule in DoD Health Care Programs. Individuals are informed of these uses and are given the opportunity to restrict the use of their PHI in accordance with DoDM 6025.18. If a patient does not provide consent, dental healthcare can not be possible or delayed.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)

Privacy Act Statement Privacy Advisory Not Applicable

As part of the intake procedures for new patients and when establishing a dental health record patients are provided a DD Form 2005 and Notice of Privacy Practices (acknowledge by signature).

This statement serves to inform you of the purpose for collecting personal information required by this system and how it will be used.

AUTHORITY: 10 U.S.C. Chapter 55, Sections 1071-1097b, Medical and Dental Care; 42 U.S.C. Chapter 117, Sections 11131-11152, Reporting of Information; DoD 6025.18-R, DoD Health Information Privacy Regulation; DoD 6010.8-R, CHAMPUS; DoD Instruction 6015.23, Delivery of Healthcare at Military Treatment Facilities: Foreign Service Care; Third-Party Collection; Beneficiary Counseling and Assistance Coordinators (BCACs); Pub.L. 104-91, Health Insurance Portability and Accountability Act of 1996; and E.O. 9397 (SSN), as amended.

PURPOSE: To collect information from Military Health System beneficiaries in order to determine their eligibility for coverage under the TRICARE Program.

ROUTINE USES: Use and disclosure of your records outside of DoD may also occur in accordance with the DoD Blanket Route Uses published at http://dpclo.defense.gov/privacy/SORNS/blanket_routine_uses.html and as permitted by the Privacy Act of 1974, as amended (5 U.S.C. 552a(b)).

Any protected health information (PHI) in your records may be used and disclosed generally as permitted by the HIPAA Privacy Rule (45 CFR Parts 160 and 164), as implemented within DoD. Permitted uses and disclosures of PHI include, but are not limited to, treatment, payment, and healthcare operations.

DISCLOSURE: Voluntary. If you choose not to provide your information, no penalty may be imposed, but absence of the requested information may result in administrative delays or the inability to accurately provide care.

h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component? (Check all that apply)

Within the DoD Component

Specify.

USAF Dental staff may request read only copies of PII (Dental Images) stored in the DICE Central Repository, as required to support the delivery of referred patient care.

Other DoD Components

Specify.

US Army and US Navy may request read only copies of PII (Dental Images) stored in the DICE Central Repository and the Defense Health Agency Enterprise Clinical Imaging Archive, as required to support the delivery of referred patient care.

Other Federal Agencies

Specify.

Veterans Administration may request read only copies of PII (Dental Images) stored in the DICE Central Repository and the Defense Health Agency Enterprise Clinical Imaging Archive, as required to support the delivery of referred patient care.

State and Local Agencies

Specify.

Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)

Specify.

Other (e.g., commercial providers, colleges).

Specify.

TRICARE Dental Program; United Concordia Companies, Inc. network dental providers, as required to support the delivery of referred patient care.

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

Individuals

Databases

- Existing DoD Information Systems Commercial Systems
 Other Federal Information Systems

AHLTA, CHCS, MHS Genesis

j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

- E-mail Official Form (Enter Form Number(s) in the box below)
 Face-to-Face Contact Paper
 Fax Telephone Interview
 Information Sharing - System to System Website/E-Form
 Other (If Other, enter the information in the box below)

DD Form 2, DOD Common Access Card

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

- Yes No

If "Yes," enter SORN System Identifier

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or <http://dpclid.defense.gov/Privacy/SORNs/>
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

- (1) NARA Job Number or General Records Schedule Authority.
 (2) If pending, provide the date the SF-115 was submitted to NARA.
 (3) Retention Instructions.

Unscheduled. Treat records as permanent until a NARA approved disposition authority is approved/applied.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

- (1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
 (2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).
 (a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.
 (b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.
 (c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

10 U.S.C. Chapter 55, Sections 1071-1097b, Medical and Dental Care; 42 U.S.C. Chapter 117, Sections 11131-11152, Reporting of Information; DoD 6025.18-R, DoD Health Information Privacy Regulation; DoD 6010.8-R, CHAMPUS; DoD Instruction 6015.23, Delivery

of Healthcare at Military Treatment Facilities; Foreign Service Care; Third-Party Collection; Beneficiary Counseling and Assistance Coordinators (BCACs); Pub.L. 104-91, Health Insurance Portability and Accountability Act of 1996; and E.O. 9397 (SSN), as amended.

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes No Pending

- (1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
- (2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, "DoD Information Collections Manual: Procedures for DoD Public Information Collections."
- (3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

DICE is not considered a Public Information Collection under Enclosure 3. Para 8.b.(5) of DoDM 8910.01 V2