

## PRIVACY IMPACT ASSESSMENT (PIA)

**PRESCRIBING AUTHORITY:** DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

**1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:**

National Optronics 7Ex Optical Fabrication Edger

**2. DOD COMPONENT NAME:**

Defense Health Agency

**3. PIA APPROVAL DATE:**

10/20/21

### SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

**a. The PII is:** (Check one. Note: foreign nationals are included in general public.)

- |  |   |
|--|---|
| From members of the general public   | From Federal employees and/or Federal contractors                                   |
| From both members of the general public and Federal employees and/or Federal contractors | <input checked="" type="checkbox"/> Not Collected (if checked proceed to Section 4) |

**b. The PII is in a:** (Check one)

- |   |                                |
|---|--------------------------------|
| New DoD Information System                    | New Electronic Collection      |
| Existing DoD Information System               | Existing Electronic Collection |
| Significantly Modified DoD Information System |                                |

**c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.**

The 7Ex is a single purpose programmable instrument that edges high base lenses using the 7Ex's unique cutter design. Performs drilling and grooving in one process with the flexibility to process all bevel cutting types. Bevel is the round curve on the eye glass lens. The 7EX produces the following bevel styles: Center Bevel, Drill Mount, Rimless, Hide A Bevel, and Base Cure Match Bevel. In addition, it produces a Safety Bevel feature that is available so that the Pin Bevel removing the sharp edge after the lens is edged to eye size. Standard cutting system are rough, finish, polish, groove, and safety bevels. "Switch on the fly" to the multi-fluted cutter which provides four different bevel geometries or one of many other specialty cutters. The electric advanced clamping system minimizes slippage and crazing with controlled clamping forces. The device does not process PII/PHI and receives work order information in the form of optical fabrication control parameters from the Laboratory Management System (LMS) through a single RS-232 serial port. There is no PII/PHI collected. The National Optronics 7Ex Optical Fabrication Edger is owned and operated by DHA Cyber Logistics.

**d. Why is the PII collected and/or what is the intended use of the PII?** (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

**e. Do individuals have the opportunity to object to the collection of their PII?** Yes  No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

**f. Do individuals have the opportunity to consent to the specific uses of their PII?** Yes  No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

**g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided.** (Check as appropriate and provide the actual wording.)

Privacy Act Statement                      Privacy Advisory                       Not Applicable

Applicable System of Records Notice (SORN): The Optronics Edger will not collect personally identifiable information (PII) to be stored in a system of records and retrieved by a personal identifier. Therefore, no SORN is required. Additionally, the Optronics Edger does not collect or receive any PII from any other information system and is only a programmable mechanical device.

Authorities: The Optronics Edger will not collect or use PII, therefore, no authorities must be cited.

Privacy Recommendation: The Optronics Edger is a programmable mechanical device for cutting objects. It does not collect any personally identifiable information to be stored in a system of records and retrieved by a personal identifier and lacks the technical ability to do so. Therefore, the Privacy Act does not apply to this device, and no Privacy Act Statement or Advisory is required.

**h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component? (Check all that apply)**

- |   |          |
|---|----------|
| Within the DoD Component  | Specify. |
| Other DoD Components  | Specify. |
| Other Federal Agencies  | Specify. |
| State and Local Agencies  | Specify. |
| Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.) | Specify. |
| Other (e.g., commercial providers, colleges).   | Specify. |

**i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)**

- |                                   |                    |
|-----------------------------------|--------------------|
| Individuals                       | Databases          |
| Existing DoD Information Systems  | Commercial Systems |
| Other Federal Information Systems |                    |

**j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)**

- |  |   |
|--|---|
| E-mail   | Official Form (Enter Form Number(s) in the box below) |
| Face-to-Face Contact                                     | Paper   |
| Fax  | Telephone Interview                                   |
| Information Sharing - System to System                   | Website/E-Form  |
| Other (If Other, enter the information in the box below) |   |

**k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?**

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

Yes     No

If "Yes," enter SORN System Identifier

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or <http://dpcltd.defense.gov/Privacy/SORNs/>  
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

**I. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?**

(1) NARA Job Number or General Records Schedule Authority. Non-Record

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

Duplicate information received from the LMS. Any information contained by the device does not have informational value to the Agency.

**m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.**

- (1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
- (2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).

(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

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Authorities: The Optronics Edger will not collect or use PII, therefore, no authorities must be cited.

**n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?**

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes       No      Pending

- (1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
- (2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, " DoD Information Collections Manual: Procedures for DoD Public Information Collections."
- (3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

OMB approval is not required. The OMB Control Number controls approval of the SORN which in turn controls approval of the PIA. The 7Ex does not solicit responses from members of the general public as the system does not collect, maintain, use, or disseminate PII.