# PRIVACY IMPACT ASSESSMENT (PIA)

**PRESCRIBING AUTHORITY**: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

**1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:**

Web MEDCASE Requirements and Execution 1.x AA 2990

| 2. DOD COMPONENT NAME: | 3. PIA APPROVAL DATE: |
|---|---|
| Defense Health Agency | 10/04/22 |

Integrated Clinical Systems Program Management Office

## SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

**a. The PII is:** *(Check one. Note: Federal contractors, military family members, and foreign nationals are included in general public.)*

    ☐ From members of the general public          ☐ From Federal employees

    ☐ from both members of the general public and Federal employees    ☒ Not Collected *(if checked proceed to Section 4)*

**b. The PII is in a:** *(Check one.)*

  ☒ New DoD Information System                    ☐ New Electronic Collection

  ☐ Existing DoD Information System               ☐ Existing Electronic Collection

  ☐ Significantly Modified DoD Information System

**c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.**

The Web Medical Care Support Equipment (MEDCASE) Requirements and Execution (WebMRE) version 1.x system is a database and web application that is developed for the Defense Health Agency's (DHA's) Integrated Clinical Systems Program Management Office (ICS PMO). The WebMRE system is a Platform as a Service (PaaS) hosted on the DHA's Data Center Operations Branch (DCOPS) virtual environment. The WebMRE system is designed to provide detailed information, requirements data, and execution status to assist in the management and control of the MEDCASE/Super Capital Expense Equipment Program (SuperCEEP). WebMRE system will provide detailed information of Medical Device Equipment located in the Army's Medical Treatment Facilities (MTF's) to assist with the requirements generation, life-cycle management and Planning, Programming, Budgeting and Execution (PPBE) process for Army Medical Equipment and general users. The system was a legacy application that was developed in Oracle 11g and was upgraded to Oracle 12c. The system was migrated from legacy application of Cold Fusion to ASP.NET MVC 5 Framework. The WebMRE System application is a database to manage the data captured via user interface. Front end of the application is developed using ASP.NET. The backend will use ORACLE 12c.

The entire functionality of the system is analyzed and divided into modules/tabs and each of them is integrated with a unified interface for user navigation. WebMRE Web Server will use Windows 2016 or Higher with 6 Gigabytes (GB) memory, IIS 10. The Database Server has 12 GB ram and will use ORACLE 12c for the Database. Web development tool is Visual Studio 19. Entity Framework 5, object-relational mapper (O/RM), Bootstrap 3 and Oracle Managed Oracle Data Provider for .NET (ODP.Net).

The system will be hosted on Non-Classified Internet Protocol (IP) Router Network (NIPRNET). The system can support Assured Compliance Assessment Solution (ACAS) scanning, and Host Based Security System (HBSS) is installed. Department of Defense (DOD) site admin have access to the system. Automatic patching will be implemented through System Center Configuration Manager (SCCM). The system does not contain any Personal Identifable Information (PII) or Protected Health Information (PHI). The baseline site is Ft Sam Houston, San Antonio, TX. Web MEDCASE Requirements and Execution 1.x AA 2990 is owned and operated by Defense Health Agency's Integrated Clinical Systems Program Management Office.

**d. Why is the PII collected and/or what is the intended use of the PII?** *(e.g., verification, identification, authentication, data matching, mission-related use, administrative use)*

**e. Do individuals have the opportunity to object to the collection of their PII?**      Yes      No

  (1) If "Yes," describe the method by which individuals can object to the collection of PII.

  (2) If "No," state the reason why individuals cannot object to the collection of PII.

**f. Do individuals have the opportunity to consent to the specific uses of their PII?**          Yes          No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

**g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided.** *(Check as appropriate and provide the actual wording.)*

          Privacy Act Statement                    Privacy Advisory                              Not Applicable

**h. With whom will the PII be shared through data/system exchange, both within your DoD Component and outside your Component?** *(Check all that apply)*

          Within the DoD Component                                        Specify.

          Other DoD Components *(i.e. Army, Navy, Air Force)*          Specify.

          Other Federal Agencies *(i.e. Veteran's Affairs, Energy, State)*          Specify.

          State and Local Agencies                                        Specify.

          Contractor *(Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)*          Specify.

          Other *(e.g., commercial providers, colleges).*          Specify.

**i. Source of the PII collected is**: *(Check all that apply and list all information systems if applicable)*

          Individuals                                                    Databases

          Existing DoD Information Systems                              Commercial Systems

          Other Federal Information Systems

**j. How will the information be collected?** *(Check all that apply and list all Official Form Numbers if applicable)*

          E-mail                                              Official Form *(Enter Form Number(s) in the box below)*

          In-Person Contact                                  Paper

          Fax                                                Telephone Interview

          Information Sharing - System to System              Website/E-Form

          Other *(If Other, enter the information in the box below)*

**k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?**

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is <u>retrieved </u>by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

          Yes          No

If "Yes," enter SORN System Identifier

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or http://dpcld.defense.gov/Privacy/SORNs/
          o*r*

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency

Division (DPCLTD).  Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

**l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?**

(1) NARA Job Number or General Records Schedule Authority.     GRS 5.2, item 020 (DAA-GRS-2017-0003-0002)

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

FILE NUMBER: 103-14

DISPOSITION: Temporary. Delete no more than 7 years from the date last modified. (See DoD DTM 22-001 on default disposition policies and OSD Records Manager guidance which file number to associate).

**m. What is the authority to collect information?  A Federal law or Executive Order must authorize the collection and maintenance of a system of records.  For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statue or Executive Order.**

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
(2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate  PII. (If multiple authorities are cited, provide all that apply).

(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority.  The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

**n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?**

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

    Yes         No         Pending

(1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
(2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, " DoD Information Collections Manual: Procedures for DoD Public Information Collections."
(3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.