PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

Carl Zeiss Cirrus 5000 AI

2. DOD COMPONENT NAME:

Defense Health Agency

CyberLOG

3. PIA APPROVAL DATE:

07/13/23

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: *(Check one. Note: Federal contractors, military family members, and foreign nationals are included in general public.)*

From members of the general public	From Federal employees
x from both members of the general public and Federal employees	Not Collected *(if checked proceed to Section 4)*

b. The PII is in a: *(Check one.)*

New DoD Information System	New Electronic Collection
Existing DoD Information System	x Existing Electronic Collection
Significantly Modified DoD Information System	

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

Carl Zeiss Cirrus 5000 AI is a non-contact, high-resolution tomographic and bio-microscopic imaging device intended for in vivo viewing, axial cross-sectional, and three-dimensional imaging of anterior and posterior ocular structures. The device is indicated for visualizing and measuring anterior and posterior ocular structures, including cornea, corneal epithelium, retina, retinal nerve fiber layer, ganglion cell plus inner plexiform layer, macula, and optic nerve head. The Cirrus normative databases are quantitative tools indicated for the comparison of retinal nerve fiber layer thickness, macular thickness, ganglion cell plus inner plexiform layer thickness, and optic nerve head measurements to a database of normal subjects. Cirrus Angio Plex OCT 5000 Angiography is indicated as an aid in the visualization of vascular structures of the retina and choroid. Cirrus High Definition Optical Coherence Tomography (HD-OCT) is indicated as a diagnostic device to aid in the detection and management of ocular diseases including, but not limited to, macular holes, cystoid macular edema, diabetic retinopathy, age related macular degeneration, and glaucoma.

Categories of individuals with records in this system in which Personally Identifiable Information (PII) and Protected Health Information (PHI) are collected is comprised of: Federal Employees DoD Military members of the Armed Forces, Military retirees, DoD Civilians as well as other categories of individuals eligible to receive medical care at DoD medical treatment facilities such DoD Military family members, Military retiree family members, DoD Civilian family members, and other individuals who are authorized to receive medical care.

The PII collected from the patient includes: Name, DoD ID Number, Medical Information, and Protected Health Information (PHI).

The Carl Zeiss System is an enterprise wide electronic collection from existing DoD information system.

Cyber logistics (CyberLOG) is responsible for the Risk Management Framework (RMF) process, and gaining an approval from DHA J6 Risk Management Executive Division (RMED). Local sites are responsible for day to day operations, maintenance, and management of the device. Sites are responsible for ensuring the device is configured to meet CyberLOG and RMED approved configurations.

Carl Zeiss Cirrus 5000 is owned and operated by the Military Treatment Facility (MTF), while CyberLOG maintains the RMF Process.

d. Why is the PII collected and/or what is the intended use of the PII? *(e.g., verification, identification, authentication, data matching, mission-related use, administrative use)*

The PII collected will be used to match the individual with his/her medical diagnostic reports and to ensure accuracy when these reports are integrated in the medical records for that individual. The intended use of the PII is for mission-related purposes to support the delivery of health care services.

e. Do individuals have the opportunity to object to the collection of their PII? Yes x No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

Individuals do not have the opportunity to object to the collection of their PII because Carl Zeiss Cirrus 5000 is not the initial point of collection.

f. Do individuals have the opportunity to consent to the specific uses of their PII? Yes [x] No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

Individuals do not have the opportunity to consent to the specific uses of their PII because Carl Zeiss Cirrus 5000 is not the initial point of collection.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. *(Check as appropriate and provide the actual wording.)*

Privacy Act Statement | Privacy Advisory | [x] Not Applicable

Carl Zeiss Cirrus 5000 does not request information directly from an individual; therefore, a Privacy Act Statement or Privacy Advisory is not required.

h. With whom will the PII be shared through data/system exchange, both within your DoD Component and outside your Component? *(Check all that apply)*

[x] Within the DoD Component	Specify.	DHA's Military Treatment Facilities (MTFs)
Other DoD Components *(i.e. Army, Navy, Air Force)*	Specify.	
Other Federal Agencies *(i.e. Veteran's Affairs, Energy, State)*	Specify.	
State and Local Agencies	Specify.	
Contractor *(Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)*	Specify.	
Other *(e.g., commercial providers, colleges).*	Specify.	

i. Source of the PII collected is: *(Check all that apply and list all information systems if applicable)*

Individuals	Databases
[x] Existing DoD Information Systems	Commercial Systems
Other Federal Information Systems	

DoD Electronic Health Record (EHR)

j. How will the information be collected? *(Check all that apply and list all Official Form Numbers if applicable)*

E-mail	Official Form (*Enter Form Number(s) in the box below)*
In-Person Contact	Paper
Fax	Telephone Interview
[x] Information Sharing - System to System	Website/E-Form
Other *(If Other, enter the information in the box below)*	

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

[x] Yes No

If "Yes," enter SORN System Identifier EDHA 07

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or http://dpcld.defense.gov/

Privacy/SORNs/
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority. GRS 5.2, item 020 (DAA-GRS-2017-0003-0002)

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

FILE NUMBER: 103-14
DISPOSITION: Temporary. Delete no more than 7 years from the date last modified. (See DoD DTM 22-001 on default disposition policies and OSD Records Manager guidance which file number to associate).

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statue or Executive Order.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
(2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).

(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

10 U.S.C., Chapter 55; Pub.L. 104-91, Health Insurance Portability and Accountability Act of 1996; DoDI 6025.18 HIPAA Privacy Rule Compliance in DoD Health Care Programs; 10 U.S.C. 1071-1085, Medical and Dental Care; 42 U.S.C. Chapter 117, Sections 11131-11152, Reporting of Information; 10 U.S.C. 1097a and 1097b, TRICARE Prime and TRICARE Program;10 U.S.C. 1079, Contracts for Medical Care for Spouses and Children; 10 U.S.C. 1079a, Civilian Health and Medical Program of the Uniformed Services (CHAMPUS); 10 U.S.C. 1086, Contracts for Health Benefits for Certain Members, Former Members, and Their Dependents; DoD Instruction 6015.23, Delivery of Healthcare at Military Treatment Facilities (MTFs); DoD 6010.8-R, CHAMPUS; 10 U.S.C. 1095, Collection from Third Party Payers Act; and E.O. 9397 (SSN)as amended.

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes **x** No Pending

(1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
(2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, " DoD Information Collections Manual: Procedures for DoD Public Information Collections."
(3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

The information collected in this system is for the diagnosis and treatment of medical disorders and is not considered a public information collection in accordance with DoDM 8910.01, V2, Encl 3, paragraph 8b(5).