

PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

Siemens Max X-Ray Family Windows10 Version 5.X_A&I

2. DOD COMPONENT NAME:

Defense Health Agency

3. PIA APPROVAL DATE:

01/01/24

CyberLOG

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: (Check one. Note: Federal contractors, military family members, and foreign nationals are included in general public.)

From members of the general public

From Federal employees

from both members of the general public and Federal employees

Not Collected (if checked proceed to Section 4)

b. The PII is in a: (Check one.)

New DoD Information System

New Electronic Collection

Existing DoD Information System

Existing Electronic Collection

Significantly Modified DoD Information System

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

Siemens Max X-Ray Family Windows10 Version 5.X_AI (Max Xray) is used in the Operating Room (OR), Emergency Room (ER) and Medical Environment. The Max products are diagnostic imaging systems based on X-ray, used to create a patient image, present the image for diagnostic purposes, and/or transmit the image to a storage device, remote reading workstation or printing device. The digital system is used for making X-ray exposures of the head, spinal column, abdomen, thorax (lungs), internal organs and extremities with/without using conventional film/screen and CR-systems. The digital image is displayed and checked on the display of the image station and then transferred to a diagnostic workstation for reporting, viewing, and diagnostics. The Hardware consists of Scalance Accesspoint, Scalance Client Module, 17" SXGA TFT LCD, Fluorospot Compact, MAX wi-D (X-Ray detector), and MAX wi-D (X-Ray detector).

Personally Identifiable Information (PII) collected includes demographic information, medical information, biometrics, and Protected Health Information (PHI). Images are associated with a particular patient via patient name and a case number. The categories of individuals PII is collected from includes Department of Defense (DoD) Health Care Beneficiaries such as Military Members of the Armed Forces, Military Retirees, and their family members; DoD Civilian Employees; Foreign Nationals; Members of the United States Coast Guard; Public Health Service; Cadets and Midshipmen of the Military Academies; and other categories of individuals who receive medical treatment at DoD Treatment Facilities/Activities.

Siemens Max X-Ray Family Windows10 Version 5.X_AI is owned by DHA CyberLOG and operated by various Military Treatment Facilities (MTFs).

d. Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

The PHI & PII collected is used for mission-related purposes to support the delivery of health care services, to match an individual with their medical diagnostic reports and ensure accuracy when these reports are integrated in the individual medical records.

e. Do individuals have the opportunity to object to the collection of their PII? Yes No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

Siemens Max X-Ray receives PII from system-to-system interface; the opportunity to object is only available at the source system.

f. Do individuals have the opportunity to consent to the specific uses of their PII? Yes No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

Siemens Max X-Ray receives PII from system-to-system interface; the opportunity to consent is only available at the source system.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)

Privacy Act Statement Privacy Advisory Not Applicable

Siemens Max X-Ray does not collect PII from individuals; Privacy Act Statement or Privacy Advisory is not required.

h. With whom will the PII be shared through data/system exchange, both within your DoD Component and outside your Component?
(Check all that apply)

- Within the DoD Component Specify. Defense Health Agency (DHA) MTF
- Other DoD Components (i.e. Army, Navy, Air Force) Specify. Army, Navy, Air Force, Space Force, and Marines
- Other Federal Agencies (i.e. Veteran's Affairs, Energy, State)
State and Local Agencies Specify. Department of Homeland Security, Tricare and Medicare.
- Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.) Specify. The military treatment facilities (MTF) may utilize contractor services to support this product. DoD policy requires such contracts include language to safeguard PII including FAR clauses: 52.224-1, Privacy Act Notification; 52.224-2, Privacy Act; and FAR 39.105, Privacy. When the contractor has access to PHI, a HIPAA Business Associate Agreement is also required
- Other (e.g., commercial providers, colleges). Specify. Billing and Financial groups

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

Individuals Databases
 Existing DoD Information Systems Commercial Systems
Other Federal Information Systems

Existing DoD Information Systems: Armed Forces Health Longitudinal Technology Application (AHLTA); Composite Health Care System (CHCS); and Military Health System (MHS) Genesis/Dentrix.

j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

E-mail Official Form (Enter Form Number(s) in the box below)
In-Person Contact Paper
Fax Telephone Interview
 Information Sharing - System to System Website/E-Form
Other (If Other, enter the information in the box below)

The patient demographics data is collected and feeds the information to an accredited Picture Archiving Communication System (PACS) system. The systems queries the Digital Communication in Medicine (DICOM) modality work-list for the patient data.

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

Yes No

If "Yes," enter SORN System Identifier

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or <http://dpcltd.defense.gov/Privacy/SORNs/>
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

Siemens Max X-Ray does not collect and maintain records about an individual where the record is retrieved by the individual's name, number or unique identifier IAW the Privacy Act of 1974, as amended. (Chapter 55 of Title 10 USC, §1071 - 1106.)

I. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority. GRS 5.2, item 020 (DAA-GRS-2017-0003-0002)

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

FILE NUMBER: 103-14

DISPOSITION: Temporary. Delete no more than 7 years from the date last modified. (See DoD DTM 22-001 on default disposition policies and OSD Records Manager guidance which file number to associate).

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

- (1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
- (2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).

(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

Public Law 104-191, Health Insurance Portability and Accountability Act of 1996; 10 USC Chapter 55, Medical and Dental Care; 10 USC 1097a, TRICARE Prime: Automatic Enrollments; Payment Options; 10 USC 1097b, TRICARE Prime and TRICARE Program: Financial Management; 10 USC 1079, Contracts for Medical Care for Spouses and Children: Plans; 10 USC 1079a, TRICARE Program: Treatment of Refunds and Other Amounts Collected Civilian Health and Medical Program of the Uniformed Services (CHAMPUS); 10 USC 1086, Contracts for Health Benefits for Certain Members, Former Members, and Their Dependents; 10 USC 1095, Health Care Services Incurred on behalf of Covered Beneficiaries: Collection From Third-party Payers; 42 USC 290dd, Substance Abuse Among Government and Other Employees; 42 USC 290dd-2, Confidentiality Of Records; 42 USC Ch. 117, Sections 11131-11152, Reporting of Information; 45 CFR 164, Security and Privacy; Department of Defense (DoD) Instruction 6015.23, Foreign Military Personnel Care and Uniform Business Offices in Military Treatment Facilities (MTF); DoDI 6025.18; and EO 9397 (SSN) as amended.

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes No Pending

- (1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
- (2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, " DoD Information Collections Manual: Procedures for DoD Public Information Collections."
- (3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

The information collected in this system is for the diagnosis and treatment of medical conditions and is not considered a public information collection in accordance with DoDM 8910.01, V2, Encl 3, paragraph 8b(5).