

PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

Spectrum Dynamics Medical (D-SPECT) Cardio R1 A&I

2. DOD COMPONENT NAME:

Defense Health Agency

3. PIA APPROVAL DATE:

12/21/23

CyberLOG

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: (Check one. Note: Federal contractors, military family members, and foreign nationals are included in general public.)

From members of the general public

From Federal employees

from both members of the general public and Federal employees

Not Collected (if checked proceed to Section 4)

b. The PII is in a: (Check one.)

New DoD Information System

New Electronic Collection

Existing DoD Information System

Existing Electronic Collection

Significantly Modified DoD Information System

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

The Spectrum Dynamics (D-SPECT) Cardio R1 Cardiac Scanner system is a high performance and compact Single Photon Emission Computed Tomography system intended for imaging of the breast and additional small organs in order to aid in the evaluation of lesions. The D-SPECT® Cardiac Scanner System consists of the Cardiac Scanner, Acquisition Station and the Processing Station. Internal to the scanner, are the RCU and SOC devices that control the touchscreen interface and the scanners. The touch screen interface (RCU) utilizes the Raspberry Pi OS32 Operating System (OS) and the Gantry Controller (SOC) on the Bussybox Custom Linux Kernel 5.13. A layer 2 switch embedded in the device provides network connectivity to the Acquisition Station through a network interface Card (NIC) that is separate from the NIC that connects the station to the network. Both the Acquisition and Processing Stations are Windows 10 Enterprise LTSC based OS devices that host the vendor's proprietary application (Dspect version 3.51.118). The Acquisition Station contains image viewing capabilities and the scan results, study raw data can be transferred via DICOM files over the network to a PACS Server. The device keyboard is used to manually enter PII and PHI data and scanned medical images from the scanner become part of the file.

The Personally Identifiable Information (PII) and Protected Health Information (PHI) collected includes birth date, gender/gender identification, medical information, other IDs. The categories of individuals from whom PII is collected include Active Duty Military, Retirees, their family members, and Federal employees.

CyberLogistics (CyberLOG) is responsible for the Risk Management Framework process and gaining an approval from Defense Health Agency's Joint Risk Management Executive Division (DHA J RMED). The MTFs/clinics address day-to-day operations, maintenance, and management of the D-SPECT. Sites ensure the devices are configured to meet CyberLOG and RMED approved configurations. D-SPECT is owned by CyberLOG Enterprise and operated by various MTFs as needed.

d. Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

The PII collected will be used to match the individual with his/her medical diagnostic reports and to ensure accuracy when these reports are integrated in the medical records for that individual. The PII collected will be used for mission-related purposes to support the delivery of health care services.

e. Do individuals have the opportunity to object to the collection of their PII? Yes No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

The device is not the initial point of collection.

f. Do individuals have the opportunity to consent to the specific uses of their PII? Yes No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

Device is not the initial collection point.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)

Privacy Act Statement Privacy Advisory Not Applicable

System does not collect PII/PHI directly from individuals. Therefore, no Privacy Act Statement or Advisory is required.

h. With whom will the PII be shared through data/system exchange, both within your DoD Component and outside your Component? (Check all that apply)

- | | |
|---|---|
| <input checked="" type="checkbox"/> Within the DoD Component | Specify. DHA Military Treatment Facilities (MTF) |
| Other DoD Components (i.e. Army, Navy, Air Force) | Specify. |
| <input checked="" type="checkbox"/> Other Federal Agencies (i.e. Veteran's Affairs, Energy, State) | Specify. Department of Veterans Affairs, Health and Human Services, Homeland Security, and Private Physicians |
| State and Local Agencies | Specify. |
| <input checked="" type="checkbox"/> Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.) | Specify. The military treatment facilities (MTF) may utilize contractor services to support this product. DoD policy requires such contracts include language to safeguard PII including FAR clauses: 52.224-1, Privacy Act Notification; 52.224-2, Privacy Act; and FAR 39.105, Privacy. When the contractor has access to PHI, a HIPAA Business Associate Agreement is also required. |
| Other (e.g., commercial providers, colleges). | Specify. |

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

- | | |
|--|--------------------|
| Individuals | Databases |
| <input checked="" type="checkbox"/> Existing DoD Information Systems | Commercial Systems |
| Other Federal Information Systems | |

The device is located at various Medical Treatment Facilities (MTFs). The data is collected by the systems approved at the various MTFs for the collection of PII and PHI information collected at check in and to support treatment.

j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

- | | |
|--|---|
| E-mail | Official Form (Enter Form Number(s) in the box below) |
| In-Person Contact | Paper |
| Fax | Telephone Interview |
| <input checked="" type="checkbox"/> Information Sharing - System to System | Website/E-Form |
| Other (If Other, enter the information in the box below) | |

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

Yes No

If "Yes," enter SORN System Identifier

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or <http://dpcl.d.defense.gov/Privacy/SORNs/>

or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

SSN is not collected by this device, no records are retrieved by unique identifier.

I. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

- (1) NARA Job Number or General Records Schedule Authority. GRS 5.2, item 020 (DAA-GRS-2017-0003-0002)

- (2) If pending, provide the date the SF-115 was submitted to NARA.

- (3) Retention Instructions.

FILE NUMBER: 103-14

DISPOSITION: Temporary. Delete no more than 7 years from the date last modified. (See DoD DTM 22-001 on default disposition policies and OSD Records Manager guidance which file number to associate).

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

- (1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
- (2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).
 - (a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.
 - (b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.
 - (c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

5 U.S.C. 301, Departmental Regulations; 10 U.S.C. 136, Under Secretary of Defense for Personnel and Readiness; 10 U.S.C., Chapter 55, Medical and Dental Care; 32 CFR Part 199, Civilian Health and Medical Program of the Uniformed Services (CHAMPUS); 45 CFR 164, Security and Privacy; Department of Defense (DoD) Instruction 6015.23, Foreign Military Personnel Care and Uniform Business Offices in Military Treatment Facilities (MTFS); DoD Instruction 6025.18, Privacy of Individually Identifiable Health Information in DoD Health Care Programs; DoD Manual 6025.18, Implementation of the Health Insurance Portability and Accountability Act (HIPAA) Privacy Rule in DoD Health Care Programs; DoD Instruction 6040.45, DoD Health Record Life Cycle Management; and E.O. 9397 (SSN), as amended.

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes No Pending

- (1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
- (2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, " DoD Information Collections Manual: Procedures for DoD Public Information Collections."
- (3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

The information collected in this system is for the diagnosis and treatment of medical disorders and is not considered a public information collection in accordance with DoDM 8910.01, V2, Encl 3, paragraph 8b(5).