PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

Identity Authentication Services (iAS)

2. DOD COMPONENT NAME:

Defense Health Agency

3. PIA APPROVAL DATE:

04/19/23

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: *(Check one. Note: Federal contractors, military family members, and foreign nationals are included in general public.)*

From members of the general public	From Federal employees
x from both members of the general public and Federal employees	Not Collected *(if checked proceed to Section 4)*

b. The PII is in a: *(Check one.)*

New DoD Information System	New Electronic Collection
x Existing DoD Information System	Existing Electronic Collection
Significantly Modified DoD Information System	

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

Identity Authentication Services (iAS) provides an array of standardized Identity Governance and Administration (IGA) services for authentication and authorization of Uniformed Services personnel, Retirees and their beneficiaries to determine their level of access to Military Health System (MHS) applications. iAS services include account provisioning, federated identity service, Public Key Infrastructure (PKI) enablement, Certificate Authority (CA) validation, Single Sign On (SSO), user registration and account management.

The types of Personally Identifiable Information (PII) that is collected by the system includes: employment information, demographic information, contact information and social security number (SSN). This information is collected from DoD military, DoD civilians, other Federal employees and contractors.

iAS is owned and maintained by the DHA's Solution Delivery Division (SDD).

d. Why is the PII collected and/or what is the intended use of the PII? *(e.g., verification, identification, authentication, data matching, mission-related use, administrative use)*

The collected PII is used by iAS to authenticate and authorize users into MHS applications to support resource/benefit management, and critical defense missions.

e. Do individuals have the opportunity to object to the collection of their PII? Yes x No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

As iAS is not the initial point of collection, individuals do not have the opportunity to object to the collection of their PII.

f. Do individuals have the opportunity to consent to the specific uses of their PII? Yes x No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

As iAS is not the initial point of collection, individuals do not have the opportunity to consent to the specific use of their PII.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. *(Check as appropriate and provide the actual wording.)*

Privacy Act Statement | Privacy Advisory | x Not Applicable

iAS does not collect personally identifiable information ("PII") from individuals; therefore, no Privacy Act Statement or Privacy Advisory is required.

h. With whom will the PII be shared through data/system exchange, both within your DoD Component and outside your Component? *(Check all that apply)*

x	Within the DoD Component	Specify.	DHA Military Treatment Facilities (MTF's)
x	Other DoD Components *(i.e. Army, Navy, Air Force)*	Specify.	Defense Manpower Data Center (DMDC)
x	Other Federal Agencies *(i.e. Veteran's Affairs, Energy, State)*	Specify.	Veterans Affairs (VA)
	State and Local Agencies	Specify.	
	Contractor *(Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)*	Specify.	
	Other *(e.g., commercial providers, colleges)*.	Specify.	

i. Source of the PII collected is: *(Check all that apply and list all information systems if applicable)*

	Individuals		Databases
x	Existing DoD Information Systems		Commercial Systems
	Other Federal Information Systems		

Defense Enrollment Eligibility Reporting System (DEERS)
iAS Enterprise Common Access Card (CAC) Registration System (ECRS)

j. How will the information be collected? *(Check all that apply and list all Official Form Numbers if applicable)*

	E-mail		Official Form (*Enter Form Number(s) in the box below)*
	In-Person Contact		Paper
	Fax		Telephone Interview
x	Information Sharing - System to System		Website/E-Form
	Other *(If Other, enter the information in the box below)*		

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

x Yes No

If "Yes," enter SORN System Identifier EDHA 07

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or http://dpcld.defense.gov/Privacy/SORNs/

or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority. GRS 3.2, item 030 (DAA-GRS-2013-0006-0003)

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

FILE NUMBER: 1601-02
DISPOSITION: Temporary. Cut off and destroy when business use ceases. NOTE: See 1601-06 for System Access Records Requiring Special Accountability

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statue or Executive Order.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
(2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).

(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

Public Law 104-191, Health Insurance Portability and Accountability Act of 1996; 10 U.S.C., Chapter Ch. 55, Medical and Dental Care; 10 U.S.C. 1097a, TRICARE Prime: Automatic Enrollments; Payment Options; 10 U.S.C. 1097b, TRICARE Prime and TRICARE Program: Financial Management; 10 U.S.C. 1079, Contracts for Medical Care for Spouses and Children: Plans; 10 U.S.C. 1079a, TRICARE Program: Treatment of Refunds and Other Amounts Collected Civilian Health and Medical Program of the Uniformed Services (CHAMPUS); 10 U.S.C. 1086, Contracts for Health Benefits for Certain Members, Former Members, and Their Dependents; 10 U.S.C. 1095, Health Care Services Incurred on behalf of Covered Beneficiaries: Collection From Third-party Payers; 42 U.S.C. 290dd, Substance Abuse Among Government and Other Employees; 42 U.S.C. 290dd-2, Confidentiality Of Records; 42 U.S.C 42 U.S.C. Ch. 117, Sections 11131-11152, Reporting of Information; 45 CFR 164, Security and Privacy; Department of Defense (DoD) Instruction 6015.23, Foreign Military Personnel Care and Uniform Business Offices in Military Treatment Facilities (MTFS); DoD Manual 6025.18, Implementation of the Health Insurance Portability and Accountability Act (HIPAA) Privacy Rule in DoD Health Care Programs; and E.O. 9397 (SSN).

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes [x] No Pending

(1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
(2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, " DoD Information Collections Manual: Procedures for DoD Public Information Collections."
(3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

iAS does not require OMB approval per DoD Manual 8910.01, volume 2, "DoD information collections manual", as it is not the initial point of PII collection.