

## PRIVACY IMPACT ASSESSMENT (PIA)

**PRESCRIBING AUTHORITY:** DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

**1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:**

Naval Medical Readiness Training Command (NMRTC) Lemoore\_MedCOI (MEDCOI\_NMRTC Lemoore)

**2. DOD COMPONENT NAME:**

Defense Health Agency

**3. PIA APPROVAL DATE:**

08/23/23

### SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

**a. The PII is:** (Check one. Note: Federal contractors, military family members, and foreign nationals are included in general public.)

From members of the general public

From Federal employees

from both members of the general public and Federal employees

Not Collected (if checked proceed to Section 4)

**b. The PII is in a:** (Check one.)

New DoD Information System

New Electronic Collection

Existing DoD Information System

Existing Electronic Collection

Significantly Modified DoD Information System

**c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.**

Naval Medical Readiness Training Command (NMRTC) Lemoore MedCOI supports Defense Health Agency (DHA) Medical Treatment Facility (MTF) on the Medical Community of Interest (MedCOI) network. The MTF enclave architecture consists of storage arrays, networking infrastructure, backup and recovery devices, virtual server infrastructure, physical servers, file and print servers, local applications, software, and telecommunications systems. The enclave includes user interfaces such as workstations and laptops and hosts office automation as well as medical systems and applications. Personally Identifiable Information (PII) may be collected, maintained, processed, or disseminated by systems and applications within the enclave or documents stored on file servers and shared drives. PII may include numerous types of PII including employee and beneficiary contact information, military information, demographic information, and Protected Health Information (PHI). Commercial-Off-The-Shelf (COTS) applications such as Microsoft Word, Excel, PowerPoint, and Outlook are utilized to provide administrative support to the enclave and may process PII. The MTF Enclave is an enclave within the DHA network. The rationale for the clear division of boundary scope from the parent network is to realize the unique and demanding levels of service and regulatory compliances that are uniquely imposed on medical operations and the need for specialized on-site staff to address these demands with clear lines of demarcation between the two.

PII processed on some system components, applications, and documents might utilize specific administrative, physical, and technical security controls to protect PII confidentiality and which may be addressed in separate PIAs. PII may include numerous types of PII including employee and beneficiary contact information, military information, demographic information, Social Security Number (SSN), and Protected Health Information (PHI). PIAs are available at: <https://dodcio.defense.gov/In-the-News/Privacy-Impact-Assessments/asp/>.

The business function of the site is to provide office space and network connectivity for authorized personnel to access the Programs Of Records (PORs). The MTF enclave includes access to the following system components, applications, and/or electronic collections: MHS GENESIS electronic medical record, AudioCare, Enterprise Blood Management System (EBMS), Defense Occupational and Environmental Health Readiness System Hearing Conservation (DOEHRS), Picture Archiving and Communications System (PACS), Healthcare Artifact and imaging Management Solution (HAIMS), Q-Flow Patient Queuing and Notification System (PQNS), Defense Enrollment Eligibility Reporting System (DEERS), and medical devices.

Naval Medical Readiness Training Command Lemoore\_MedCOI (MEDCOI\_NMRTC Lemoore) is owned and operated by DHA - Naval Health Clinic Lemoore\_MedCOI, Naval Air Station (NAS) Lemoore, California (CA).

**d. Why is the PII collected and/or what is the intended use of the PII?** (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

The purpose and the intended use of collected PII is specific to a system component and is addressed in the sub-system's PIA.

**e. Do individuals have the opportunity to object to the collection of their PII?**

Yes  No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

The opportunity for individuals to object to the collection of PII is specific to the method used to collect PII in the respective system component and is addressed in the sub-system's PIA.

**f. Do individuals have the opportunity to consent to the specific uses of their PII?** Yes  No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

The opportunity for individuals to object to uses of PII is specific to the method used by the respective system component and is addressed in the respective sub-system's PIA.

**g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided.** (Check as appropriate and provide the actual wording.)

Privacy Act Statement                      Privacy Advisory                       Not Applicable

The enclave infrastructure does not collect PII directly from individuals; however, system components, applications, and electronic collections within the enclave might collect PII. Refer to the respective system component, application, or electronic collection PIA for additional information.

**h. With whom will the PII be shared through data/system exchange, both within your DoD Component and outside your Component?** (Check all that apply)

- Within the DoD Component                      Specify.                      PII may be shared with personnel with authorized access to the enclave.
- Other DoD Components (i.e. Army, Navy, Air Force)                      Specify.                      Not applicable for the enclave. PII sharing is addressed in the respective sub-system's PIA.
- Other Federal Agencies (i.e. Veteran's Affairs, Energy, State)                      Specify.                      Not applicable for the enclave. PII sharing is addressed in the respective sub-system's PIA.
- State and Local Agencies                      Specify.                      Not applicable for the enclave. PII sharing is addressed in the respective sub-system's PIA.
- Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)                      Specify.                      Not applicable for the enclave. PII sharing is addressed in the respective sub-system's PIA.
- Other (e.g., commercial providers, colleges).                      Specify.                      Not applicable for the enclave. PII sharing is addressed in the respective sub-system's PIA.

**i. Source of the PII collected is:** (Check all that apply and list all information systems if applicable)

- |  |   |
|--|---|
| <input type="checkbox"/> Individuals                       | <input type="checkbox"/> Databases          |
| <input type="checkbox"/> Existing DoD Information Systems  | <input type="checkbox"/> Commercial Systems |
| <input type="checkbox"/> Other Federal Information Systems |   |

Not applicable for the MTF MedCOI enclave; the source of the PII collected is specific to the information system component and is addressed in the sub-system's PIA.

**j. How will the information be collected?** (Check all that apply and list all Official Form Numbers if applicable)

- |   |  |
|---|--|
| <input type="checkbox"/> E-mail   | <input type="checkbox"/> Official Form (Enter Form Number(s) in the box below) |
| <input type="checkbox"/> In-Person Contact  | <input type="checkbox"/> Paper   |
| <input type="checkbox"/> Fax  | <input type="checkbox"/> Telephone Interview                                   |
| <input type="checkbox"/> Information Sharing - System to System                   | <input type="checkbox"/> Website/E-Form  |
| <input type="checkbox"/> Other (If Other, enter the information in the box below) |  |

Not applicable for the MTF MedCOI enclave; the information collection method is specific to the information system component and is addressed in the sub-system's PIA.

**k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?**

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

Yes  No

If "Yes," enter SORN System Identifier

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or <http://dpcl.d.defense.gov/Privacy/SORNs/>  
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

The MTF MedCOI enclave is not a system of records; however, system components, applications, and electronic collections within the enclave might require a SORN. Refer to the specific system component, application, or electronic collection PIA for SORN information.

**l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?**

(1) NARA Job Number or General Records Schedule Authority. Not Applicable

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

The LAN/Enclave itself does not have a NARA approved, pending, or GRS authority and retention instructions applied as a whole. Refer to NARA approved, pending, or GRS authority and retention instruction specific to the systems, applications, electronic collections, file servers, and share drives contained within the LAN/Enclave

**m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.**

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.

(2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).

(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

NMRTC Lemoore\_MedCOI does not collect personally identifiable information ("PII"), refer to the authorities to collect PII specific to the information system component and addressed in the respective sub-system's privacy impact assessment ("PIA").

**n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?**

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes       No      Pending

(1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.

(2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, "DoD Information Collections Manual: Procedures for DoD Public Information Collections."

(3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

The MTF MedCOI enclave does not collect information from members of the public nor has an active and approved OMB control number ; however, system components, applications, or electronic collections within the NMRTC Lemoore MedCOI enclave might do so. Refer to the specific sub-system, application, or electronic collection PIA for information regarding the OMB Control Number.