

# PRIVACY IMPACT ASSESSMENT (PIA)

**PRESCRIBING AUTHORITY:** DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

**1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:**

Enterprise Mobile Platform of Innovation Ready Environments (EMPIRE)

**2. DOD COMPONENT NAME:**

Defense Health Agency

**3. PIA APPROVAL DATE:**

04/02/2026

Program Executive Office (PEO) Medical Systems (J6)

**SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)**

**a. The PII is:** (Check one. Note: Federal contractors, military family members, and foreign nationals are included in general public.)

- From members of the general public  From Federal employees  
 from both members of the general public and Federal employees  Not Collected (if checked proceed to Section 4)

**b. The PII is in a:** (Check one.)

- New DoD Information System  New Electronic Collection  
 Existing DoD Information System  Existing Electronic Collection  
 Significantly Modified DoD Information System

**c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.**

Enterprise Mobile Platform of Innovation Ready Environments (EMPIRE) is an advanced and comprehensive mobile application management platform, purpose-built to empower Defense Health Agency (DHA) customers seeking to leverage mobile applications to enhance patient outcomes. By consolidating shared technologies and resources within a common authorization boundary (i.e., EMPIRE), current and future DHA mobile applications can benefit from a simplified authorization process and an optimized deployment cycle. Additionally, EMPIRE satisfies emerging requirements stemming from cloud adaptation and digital transformation, facilitates seamless coordination among various healthcare providers, and promotes interoperability across applications and devices within the DHA enterprise.

The categories of individuals from which EMPIRE collects personally identifiable information (PII) include Active Duty Service Members and their Beneficiaries, Department of Defense (DoD) Civilians, and DoD-affiliated Contractors.

PII elements collected by EMPIRE include name(s), work contact information, DoD ID Number(s), and medical information (to include protected health information (PHI)).

EMPIRE is owned and managed by the Web & Mobile Technology (WMT) Program Management Office (PMO)/Solution Delivery Division (SDD)/Program Executive Office (PEO) Medical Systems (J-6)/Defense Health Agency (DHA).

**d. Why is the PII collected and/or what is the intended use of the PII?** (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

EMPIRE collects PII for authentication and mission-related use. The intended use of PII collected by EMPIRE is to authenticate user access to, and facilitate mission-related use(s) of, application(s) hosted by EMPIRE.

**e. Do individuals have the opportunity to object to the collection of their PII?**  Yes  No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

Individuals have the opportunity to object to the collection of their PII by declining to utilize the application(s) hosted by EMPIRE. Refusal to consent to the collection of PII may affect the provisioning of care to the individual; however, care will not be denied and no penalties will be imposed.

**f. Do individuals have the opportunity to consent to the specific uses of their PII?**  Yes  No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

Individuals have the opportunity to consent to the specific uses of their PII by declining to utilize the application(s) hosted by EMPIRE. Refusal to consent to specific uses of PII may affect the provisioning of care to the individual; however, care will not be denied and no penalties will be imposed.

**g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided.** (Check as appropriate and provide the actual wording.)

Privacy Act Statement       Privacy Advisory       Not Applicable

**PRIVACY ADVISORY:** You are accessing a U.S. Government information system (IS) which may contain information subject to the Privacy Act of 1974, as amended, 5 U.S.C. § 552(a). By accessing this system, you: (1) acknowledge that you possess a valid need-to-know; (2) agree to adhere to all applicable DoD & DHA requirements concerning access to information subject to the Privacy Act of 1974; and (3) acknowledge that disclosure of protected Privacy Act information in this IS, in any manner, to person(s) or entity(ies) not entitled to receive it, may subject you to administrative, civil, and/or criminal penalties.

**h. With whom will the PII be shared through data/system exchange, both within your DoD Component and outside your Component?** (Check all that apply)

- |  |          |  |
|--|----------|--|
| <input checked="" type="checkbox"/> Within the DoD Component   | Specify. | Defense Health Agency (DHA) and Military Health System (MHS) Personnel   |
| <input checked="" type="checkbox"/> Other DoD Components (i.e. Army, Navy, Air Force)  | Specify. | Assistant Secretary of Defense for Health Affairs (ASD(HA)); Under Secretary of Defense for Personnel and Readiness (USD(P&R)); Departments of the Army, Navy, and Air Force |
| <input checked="" type="checkbox"/> Other Federal Agencies (i.e. Veteran's Affairs, Energy, State)   | Specify. | Department of Homeland Security (DHS) (US Coast Guard); Department of Veterans Affairs (VA)  |
| <input type="checkbox"/> State and Local Agencies  | Specify. |  |
| <input type="checkbox"/> Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.) | Specify. |  |
| <input type="checkbox"/> Other (e.g., commercial providers, colleges).   | Specify. |  |

**i. Source of the PII collected is:** (Check all that apply and list all information systems if applicable)

- |  |   |
|--|---|
| <input checked="" type="checkbox"/> Individuals                      | <input type="checkbox"/> Databases          |
| <input checked="" type="checkbox"/> Existing DoD Information Systems | <input type="checkbox"/> Commercial Systems |
| <input type="checkbox"/> Other Federal Information Systems           |   |

Existing DoD Information Systems: Identity Authentication Service (iAS)

Individuals: Users enter requested PII and/or PHI into specific application(s) hosted by EMPIRE.

**j. How will the information be collected?** (Check all that apply and list all Official Form Numbers if applicable)

- |   |  |
|---|--|
| <input type="checkbox"/> E-mail   | <input type="checkbox"/> Official Form (Enter Form Number(s) in the box below) |
| <input type="checkbox"/> In-Person Contact  | <input type="checkbox"/> Paper   |
| <input type="checkbox"/> Fax  | <input type="checkbox"/> Telephone Interview                                   |
| <input checked="" type="checkbox"/> Information Sharing - System to System        | <input checked="" type="checkbox"/> Website/E-Form                             |
| <input type="checkbox"/> Other (If Other, enter the information in the box below) |  |

Information Sharing - System to System: iAS

Website/E-Form: Users enter requested PII and/or PHI into specific application(s) hosted by EMPIRE.

**k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?**

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

Yes     No

If "Yes," enter SORN System Identifier

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or <http://dpcl.d.defense.gov/Privacy/SORNs/>

or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date.

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

EMPIRE does not collect and store information from U.S. citizens or lawful permanent U.S. residents for retrieval by name or other unique identifier. Therefore, no SORN is required.

**I. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?**

(1) NARA Job Number or General Records Schedule Authority.

**Mission Schedules:**

- 1601-01: GRS 3.1, item 011 (DAA-GRS- 2013-0005- 0007)
- 1601-12: GRS 3.1, item 051 (DAA-GRS-2013-0005-0003)
- 1606-03: GRS 3.1, item 030 (DAA-GRS-2013-0005-0005)
- 1606-11: GRS 3.1, item 010 (DAA-GRS-2013-0005-0006)

**Other Schedules:**

- System Access Records: GRS 3.2, item 030 (DAA-GRS-2013-0006-0003),
- Data Administration and Documentation: GRS 3.1, item 051 (DAA-GRS-2013-0005-0003)

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

**Mission Schedules:**

FILE NUMBER: 1601-01

FILE TITLE: System Development Records

DISPOSITION: Temporary. Cut off after system is superseded by a new iteration, or is terminated, defunded, or when no longer needed for administrative, legal, audit, or other operational purposes. Destroy 5 years after cutoff.

FILE NUMBER: 1601-12

FILE TITLE: Data Administration and Documentation - Temporary Systems

DISPOSITION: Temporary. Cut off after the project/activity/transaction is completed or superseded, or the associated system is terminated, or the associated data is migrated to a successor system. Destroy 5 years after cutoff.

FILE NUMBER: 1606-03

FILE TITLE: Configuration and Change Management Records

DISPOSITION: DISPOSITION: Temporary. Cut off after system is superseded by a new iteration, or is terminated, defunded, or no longer needed for agency/IT administrative purposes. Destroy 5 years after cutoff.

FILE NUMBER: 1606-11

FILE TITLE: Infrastructure Project Records

DISPOSITION: Temporary. Cut off after project is terminated. Destroy 5 years after cutoff.

**Other Schedules:**

FILE NUMBER: 1601-02

FILE TITLE: System Access Records - Systems not requiring Special Accountability for Access

DISPOSITION: Temporary. Cut off and destroy when business use ceases.

**m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.**

- (1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
- (2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).
  - (a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.
  - (b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.
  - (c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

National Defense Authorization Act (NDAA) 2017, Defense Health Programs; 10 U.S.C. 136, Under Secretary of Defense for Personnel and Readiness; 10 U.S.C. Chapter 55, Medical and Dental Care; 42 U.S.C. 290dd, Substance Abuse Among Government and Other Employees; 42 U.S.C. 290dd-2, Confidentiality Of Records; 42 U.S.C., Ch. 117, Sections 11131-11152, Reporting of Information; 32 CFR Part 199, Civilian Health and Medical Program of the Uniformed Services (CHAMPUS); DoDM 6025.18, "Implementation of the Health Insurance Portability and Accountability Act (HIPAA) Privacy Rule in DoD Health Care Programs"; and DoDI 6040.45, "DoD Health Record Life Cycle Management"

**n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?**

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes     No     Pending

- (1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
- (2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, "DoD Information Collections Manual: Procedures for DoD Public Information Collections."
- (3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

The information collected by application(s) hosted by EMPIRE is to support the diagnoses and treatment of medical conditions and is not considered a public information collection per DoDM 8910.01, Volume 2, Enclosure 3, paragraph 8b(5).