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# 2004 Health Care Survey of DoD Beneficiaries:

## Child Sample Report

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# Appendices

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# Executive Summary

The Health Care Survey of DoD Beneficiaries (HCSDB) is comprised of two surveys. One is an adult survey of active-duty military personnel, retirees and their family members eligible for care under the military health system (MHS), and the other is a survey of beneficiaries younger than 18. Both surveys measure health care status as well as access to care, use of, and satisfaction with care in the MHS. The child survey has been conducted every year since 1996, with the exception of 1998.

Data collection for the 2004 Child HCSDB will occur during the third quarter of 2004. This report documents the procedures used to design and select the sample of child beneficiaries for the 2004 Child HCSDB.

The 2004 Child HCSDB has a stratified sample design and involves the selection of 35,000 child beneficiaries. The sample selection process included six steps: (1) constructing the sampling frame for use in sample selection, (2) determining sampling strata based on analytic purposes, (3) assigning the sample sizes to strata to satisfy the precision goals established for the study using an optimal allocation algorithm, (4) selecting the samples for the survey using a systematic sample selection algorithm, (5) creating sampling weights that reflect the probability of selection, and (6) checking results to ensure that sampling and weighting were implemented as specified.

The major features of the sample design for the 2004 Child HCSDB are:

- The sampling frame consists of the approximately 1.9 million beneficiaries younger than 18 years old who are eligible for military health care benefits as of March 31, 2004. The sampling frame is limited to beneficiaries who live in the United States.
- Sampling strata are based on the cross of two types of TRICARE Prime enrollment statuses by three geographic areas and by three age groups. Types of TRICARE Prime enrollment status include enrolled in TRICARE Prime and not enrolled in TRICARE Prime. The geographic areas are New Region with regions 1, 2, and 5, Mature Region with regions 6, 9, 10, 11, 12, and 16, and Other Region with regions 3, 4, 7, and 8. The three age groups are less than 6 years, 6 through 12 years, and 13 through 17 years.
- The goals for the precision of survey estimates are half-lengths of 95 percent confidence intervals for a percentage of size 50. For all sampling strata, the goal is half-lengths of 5 percentage points. For estimates for the three regions described above, the goal is half-lengths of 2 percentage points. In addition, for the precision estimates for the MHS as a whole, the precision goal is half-lengths of 1 percentage point.
- The response rate for the 2004 survey is expected to be approximately 32 percent.
- The precision requirements and expected response rates resulted in a sample of 30,780 beneficiaries for the 2004 Child HCSDB. However, we have the resources to allow us to sample 35,000. We used an optimal allocation algorithm to allocate the 4,220 additional child beneficiaries.
- A systematic sample selection algorithm was used both to ensure proportional representation of the various substrata in the sample and to ensure that we did not select multiple children from the same family.

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Chapter
1

# Introduction

Congress mandated that the Department of Defense conduct an annual survey of its active-duty personnel, retirees, and eligible family members to measure accessibility, usage, and satisfaction in a range of health care services. The first of these surveys, referred to as the 1995 Health Care Survey of DoD Beneficiaries (HCSDB), was completed in the spring of 1995. The 1995 HCSDB surveyed the health care experiences of adult beneficiaries (that is, beneficiaries 18 or older). In 1996, the HCSDB expanded to include topics related to health care for children. The 1996 survey consisted of two separate questionnaires: Form A for adults and Form C for children's topics. The child survey was repeated in 1997, 1999, 2000, 2001, 2002, and 2003. This report summarizes and documents the procedures used to select the child samples for the 2004 Child HCSDB.

Chapter II of this report describes the construction of files required to select the samples of child beneficiaries for the 2004 Child HCSDB. Next, Chapter III discusses the stratification scheme used to draw the samples. This is followed in Chapter IV by a discussion of the derivation of sample sizes required to meet specified precision requirements. Finally, Chapter V describes the procedures used to draw the samples and summarizes the results of the sampling process.

The appendices include tables and SAS programs. Appendix A lists Defense Eligibility Enrollment Reporting System (DEERS) variables provided by Defense Manpower Data Center (DMDC). Appendix B includes population counts and sample counts (weighted and unweighted) tabulated for all sampling strata as part of the sample verification process. Appendix C includes all variables delivered to the data collector, National Research Corporation (NRC) after the sample was selected, and Appendix D contains all SAS programs used for the 2004 sample design and sample selection. All technical arguments and related formula used in determining the sample sizes are presented in Appendix E.

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Chapter 2

# Construction of the Sampling Frame

The first step to select a sample that represents the target population is to create a sampling frame that lists all eligible members of the target population. The sampling frame for the 2004 Child HCSDB was based on a DEERS extract file and constructed as follows:

- A DEERS extract file was requested for sampling purposes.
- The sampling frame was constructed from the DEERS extract file for the reference date of March 31, 2004.
- The variables required for sampling were constructed.
- Population counts were calculated for potential stratification cells defined by the cross-classification of geographic area, beneficiary type, and enrollment status.

This chapter describes these operations.

## A. REQUESTING THE DEERS EXTRACT FILE

The first step in building the frame was to prepare specifications that Tricare Management Activity (TMA) could use to create the DEERS population extract. The extract file contained data for more than 9 million DoD health care beneficiaries (adults and children) as of March 31, 2004, and included information needed for sample selection as well as address/locator information for mailing the survey questionnaires. The child frame was limited to the 1,860,452 beneficiaries with at least one dependent under the age of 18 living in the US. The variables in the extract file are listed in Appendix A.

Because we planned to use in-house SAS programs for sampling, we converted the extract file to a SAS data set. Beneficiaries in the DEERS extract file can be uniquely identified by a constructed variable, SSNSMPL, which contains confidential data.[1] We created a nonconfidential identification variable (MPRID) by randomly and uniquely assigning values from 1 to 1,860,452 to each child beneficiary in the extract file. The SAS-converted extract data file incorporates MPRID as the identification variable and excludes SSNSMPL. For historical purposes, we retained a crosswalk file that includes SSNSMPL and MPRID. The crosswalk file allows us to link frame records to the DEERS database if needed. Appendix D includes the SAS programs we used to create the crosswalk file and to transform the data set to a SAS data set.

To safeguard the security of the DEERS extract file, we used the procedures outlined in the following sources: The Guide to Understanding Configuration Management in Trusted Systems (Orange Book); DoD 5200.28; Appendix III to OMB Circular No. A-130-Security of Federal Automated Information Resources; the Computer Security Act of 1987; and the Privacy Act of 1974. We also maintained a secure data storage facility and a C2-compliant local area network,

[1] SSNSMPL is formed by three DEERS variables: the nine-digit Social Security number (SSN), the one-digit family sequence number (FSN), and the two-digit DEERS dependent suffix (DDS).

and we set up a chain of custody procedures. The original extract was returned to TMA four weeks after we received the data.

## B. DETERMINING ELIGIBLES FOR THE SAMPLING FRAME

The sampling frame for the 2004 Child HCSDB included beneficiaries listed in DEERS if they were:

- Younger than 18 on March 31, 2004 and resided only in the United States
- Eligible for military health care benefits as of March 31, 2004

Using the DEERS variables DHSRGN and DAGEQY, we determined that about 1.9 million children were eligible for health care benefits at the time of sample selection. [2]

The child sample was selected from this sampling frame after the constructed variables needed for sampling were added.

## C. CONSTRUCTING THE VARIABLES REQUIRED FOR SAMPLING

Because the 2004 Child HCSDB uses a stratified sample design, variables for stratification had to be included in the sampling frame. Beneficiaries for the child survey were stratified by a combination of enrollment status, geographic area, and age group. (The stratification process is described in Chapter III.) For sampling purposes, some variables had to be created using the information from the DEERS extract files. These variables, along with the input DEERS variables used to construct them, appear below.

- **MPRID (nonconfidential identification number).** This variable corresponds uniquely to SSNSMPL so that units in the frame can be linked back to information from the extract file.
- **BGCSMPL (beneficiary group)**. This variable carries an extension of 1, 2,or 3 that denotes the following beneficiary groups: 1 = active duty, [3] 2 = active-duty family members, and 3 = retirees and family members. DEERS variables = PATCAT.
- **ENLSMPL (enrollment status of a beneficiary, stratification variable).** This variable carries an extension of 1 or 2 that denotes the following groups: 1 = enrollee, 2 = nonenrollee. DEERS variables = PCM.
- **REGSMPL (geographic region).** This variable carries an extension of 1 through 12 and 16 that denotes the following groups: 1 = Northeast, 2 = Mid-Atlantic, 3 = Southeast, 4 = Gulf South, 5 = Heartland, 6 = Southwest, 7 = Central, 8 = Central 9 = Southern California, 10 = Golden Gate, 11 = Northwest, 12 = Hawaii, and 16 = Alaska. DEERS variables = DHSRGN.
- **SUPREG (geographic area-stratification variable).** This variable was constructed from REGSMPL and carries an extension of 1, 2, or 3 that denotes the following: 1 = New Region (regions 1, 2, 5), 2 = Mature Region (regions 6, 9, 10, 11, 12, 16), 3 = Other Region (region 3, 4, 7, 8).
- **SVCSMPL (branch of service).** This variable carries an extension of 1, 2, 3, 4, 5, or 6 that denotes the following: 1 = Army, 2 = Navy, 3 = Air Force, 4 = Marine Corps, 5 = Coast Guard, 6 = Other. [4] DEERS variable: SVCCD.

[2]The SAS program used to build the sampling frame of eligible child beneficiaries is given in Appendix D.

[3]The active duty category also includes academy students, reservists, and people recently separated from military service who are covered for the mandated transition period after separation.

- **SEXSMPL (sex of the beneficiary).** This variable carries an extension of 1 or 2 that denotes the following: 1 = male or missing or unknown, [5] 2 = female. DEERS variable: PNSEXCD.
- **AGESMPL (age group-stratification variable).** This variable carries an extension of 1, 2, or 3 that denotes the following: 1 = younger than 6, [6] 2 = 6-12 years old, 3 = 13-17 years old. DEERS variable: DAGEQY.
- **FAMCODE (family group indicator).** This variable was constructed from the sponsor Social Security number (SSNSMPL). Each child with the same sponsor Social Security number is grouped into the same family group indicator. The value of this variable is unique for an individual sponsor and was constructed so that it does not reveal confidential information about that sponsor.

[4] If the Branch of service was unknown or otherwise could not be determined from the information in the DEERS file, we assigned a SVCSMPL code of 6.

[5] For sampling purposes, the "unknowns" were grouped with males.

[6] For sampling purposes, the "unknowns" were grouped with younger than 6.

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Chapter
3

# Construction of Sampling Strata

The 2004 Child HCSDB sample was independently selected within strata defined by geographic area, age group, and enrollment status. In this chapter, we describe the construction of sampling strata for the 2004 Child HCSDB.

## A. STRATIFICATION VARIABLES

The 2004 Child HCSDB was stratified using a scheme similar to the 2003 Child HCSDB. The stratification variables are: (1) TRICARE Prime enrollment status, (2) super region based on geographic regions, and (3) age group.

### 1. Enrollment Status

We determined enrollment status by first dividing the target population into two groups: (1) enrolled in TRICARE Prime and (3) not enrolled in TRICARE Prime.

Enrollment status was determined using the DEERS variables for the PCM code (PCMCODE). By the definition of PCMCODE values, all beneficiaries with PCMCODE = MTF (military treatment facility) or CIV (civilian) were assigned to the enrolled group. All others were assigned to the non-enrollment group.

### 2. Super Region

The definition of geographic area depends on a beneficiary's regional assignment (REGSMPL). The REGSMPL variable was constructed with a DEERS variable, the derived location military health service region code (DHSRGN). Related SAS codes are found in Framec.sas program of Appendix D. Super region variable (SUPREG) was then constructed by grouping values of REGSMPL:

- New Region (SUPREG = 1) consists of REGSMPL values of 1 = Northeast, 2 = Mid-Atlantic, and 5 = Heartland
- Mature Region (SUPREG = 2) consists of REGSMPL values of 6 = Southwest, 9 = Southern California, 10 = Golden Gate, 11 = Northwest, 12 = Hawaii, and 16 = Alaska
- Other Region (SUPREG = 3) consists of REGSMPL values of 3 = Southeast, 4 = Gulf South, 7 = Central, and 8 = Central

### 3. Age Group

Beneficiaries were assigned to one of three age groups: (1) younger than 6 years old, (2) 6 through 12 years old, and (3) 13 through 17 years old.

### 4. Family Group

To permit sampling procedures that eliminate multiple selections within the same household, all children with the same family code were grouped into one stratum. The assignment used a procedure that randomly selects one child to determine stratum membership of the household. Each child within a family group was assigned a random number. The random numbers were then sorted within the families. All children were assigned to the stratum associated with the characteristics of the child with the smallest random number.

## B. STRATIFICATION RESULTS

There are 18 sampling strata, which can be uniquely specified using the following variables: SUPREG (geographic site), ENLSMPL (enrollment status), and AGESMPL (age group).

The final step before selecting the sample was to generate stratum-level population counts to allocate the sample to meet predetermined precision rules for various domains. Chapter 4 discusses sample size allocation.

Chapter
4

# Sample Sizes

The sample size appropriate to meet precision goals for each stratum and available resources determined the total sample size for the 2004 Child HCSDB. Because the strata are also important analytic domains, this strategy ensures that samples drawn from each stratum will be large enough to meet our precision requirements. In addition, stratification with approximately optimum allocation to strata can be effective in reducing the sampling errors. In this chapter, we present the procedures used for sample size allocation to meet precision requirements for the 2004 Child HCSDB. We discuss the requirements themselves, response rates, and how the sample sizes were finally determined.

## A. PRECISION REQUIREMENTS

Precision requirements and expected response rates were the basis for determining stratum-level sample sizes. These requirements were defined to ensure adequate precision for constructing 95 percent confidence intervals. We are interested in estimating the proportion of beneficiaries with a certain attribute for a particular domain of interest. When the sample size is large enough, we can assume that estimated proportions will follow approximate normal distributions according to the Central Limit Theorem (Skinner et al. 1989). The resulting 100(1-α) confidence interval for a proportion of interest P is based on the standard formula:

(4.1) $$p \pm z_{1-\alpha/2}\sqrt{V(p)} = p \pm HL$$

where $p$ is an estimate of $P$, $z_{1-\alpha/2}$ is the 100(1-α/2)$^{th}$ percentile point from the standard normal distribution with a mean of zero and standard deviation one, and $HL$ is the half-length of the two-sided 95 percent confidence interval, or $HL = z_{.975}\sqrt{V(p)}$.

For the 2004 Child HCSDB, precision requirements specified that the $HL$ of the 95 percent confidence interval in (4.1) for a given estimate should be less than or equal to a specified value. Because the maximum $HL$ value occurs for $P$ = 0.5, the precision requirements for the $HL$s were set for $P$ values of 0.5; this guaranteed that $HL$s for all estimates would be less than specified values. The precision requirements for estimated proportions derived from the 2004 Child HCSDB are as follows:

- For sampling stratum-level estimates, the HLs are less than 0.05 (or 5 percentage points).
- For geographic area-level estimates, the HLs are less than 0.02 (or 2 percentage points).
- For estimates for the entire population, the HLs are 0.01 (or 1 percentage point) or less.

## B. RESPONSE RATES

After calculating the desired number of eligible respondents needed to achieve the precision requirements specified above, we inflated the resulting sample sizes to account for survey

nonresponse. The expected 2004 Child HCSDB response rate was assumed to be 32 percent across all strata.

## C. SAMPLE SIZE COMPUTATION

In this section, we describe the key algorithms used in determining sample sizes and summarize how each precision requirement affected the total sample size. The technical presentation in Appendix E is the basis for the sample sizes we developed to meet the precision requirements for the 2004 Child HCSDB. Appendix D includes the in-house SAS programs we used in determining sample sizes.

### 1. Stratum-Level Sample Sizes

The first step in determining the final sample size was to calculate initial sample sizes, say $n_h^0$, for all strata using formula (E.4) in Appendix E to achieve the required half-length confidence interval of 0.05 or less for stratum-level proportion estimates. So, we substituted the value of 0.05, the half-length of the two-sided 95 percent confidence interval, for *B* in (E.4). In summary, the following specifications must be put into (E.4) to determine initial stratum sample sizes:

- *B* = 0.05 for all strata
- Stratum-level population counts for *N*

### 2. Sample Sizes to Meet Geographic Area-Level Precision Constraints

The next step was to adjust the initial sample sizes, $n_h^0$, to meet the precision goal for the geographic areas. First, we calculated formula (E.7) in Appendix E. We needed values for stratum-level population size (POPSIZE) $N_h$, and domain-specific population size (DSUM1) $N_d$. The summation in the formula occurs over all strata within the domain *d* geographic areas. Input values for (E.7) were:

- $N_h$ : POPSIZE
- $N_d = \sum_{h \in d} N_h$ : DSUM1
- $n_h$ : $n_h^0$ obtained from (E.4)
- *B*: 0.02

We then compared the calculated variances using (E.7) with the predetermined values $V_{d,0} = B_d^2 / 3.8416$ for all geographic areas. If calculated values for all domains were less than or equal to the predetermined values, then the final stratum-level sample sizes, $n_h^F$, were the same as the initial sample sizes, $n_h^0$, for all strata within those geographic areas. Specifically, if all geographic area-level variances using formula (E.7) were less than or equal to $V_{d,0}$, then we skipped all steps in this section and considered the precision requirement for enrollment status group-level estimates, as discussed in the next section. However, the initial sample allocation did not meet the geographic area-level precision requirements for all areas. To satisfy these requirements, we increased the strata sample sizes using the optimization algorithm described in Appendix E.

The optimal geographic area-level sample sizes were calculated using formula (E.9) in Appendix E for all geographic areas. Here, $N_d$, $N_h$, and $V_{d,0}$ are the same as defined above, and the summation in the formula occurs over all strata within domain *d*. The output is denoted by $n_d$. With the optimal geographic area-level sample sizes, $n_d$, stratum-level sample sizes were also optimally allocated for all strata by using (E.10). Input values for (E.11) in Appendix E are the same as defined for (E.9) above. The resulting sample sizes at this step are denoted as $n_h^{opt}$.

We then compared optimal stratum-level sample sizes, $n_h^{opt}$, with initial sample sizes, $n_h^0$, from (E.4). If $n_h^{opt} \geq n_h^0$ (or $n_h^{opt} \leq n_h^0$) for all strata, then we took $n_h^{opt}$ (or $n_h^0$) as tentative sample sizes for all strata and went to the next step to consider the precision requirement for the total sample estimates. However, in some strata, $n_h^{opt} < n_h^0$ or otherwise. Instead of unnecessarily inflating the total sample by taking the larger values from these two sample sizes, we repeated the optimal allocation algorithms to minimize the total sample size while meeting the stratum- and geographic area-level precision requirements.

### 3. Final Sample Sizes

Because resources allow us to field a larger sample than is required under the precision requirements, we used an optimal allocation algorithm for the surplus. Because of the surplus sample we can be assured that the subsequent quantity satisfies the precision rule *B* = 0.01 for the entire population.

After finalizing strata sample sizes for eligible respondents, we incorporated the expected response rates to obtain the final sample sizes. The final sample sizes were then calculated as:

$$n_h^F = \frac{n_h}{R}$$

for each stratum *h* where *R* = 0.32. The total number of beneficiaries to be selected for the 2004 Child HCSDB was determined to be 35,000.

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Chapter
5

# Selecting the Sample

The 2004 Child HCSDB sample was selected with a stratified, systematic sample design. The sampling was independently performed within the strata (see Chapter 3) based on the sample size allocation (see Chapter 4). Within each stratum, beneficiaries were sorted using hierarchical serpentine sorting[7] by the following analytic variables: family group (FAMCODE), region (REGSMPL), age (AGE_N), and sex (SEXSMPL). After beneficiaries were sorted, we sampled them using a systematic sample selection procedure. This procedure ensures that the various substrata, defined by the sorting variable, contain sample sizes proportional to their population sizes. Systematic sampling provides greater control over the distribution of the sample and, with appropriate choices for the sorting variables, can produce a stratified systematic sample that improves on the precision of a stratified simple random sample.

Beneficiaries were sampled at varying rates depending on the sampling stratum. The algorithm used to draw the sample automatically selected beneficiaries to yield the predetermined stratum sample size. In the discussion that follows, we describe systematic selection procedure, the development of the sampling weight, and how we checked sample size and weights to evaluate the selection procedure. Appendix D contains the SAS program for the 2004 Child HCSDB sample selection.

## A. SYSTEMATIC SELECTION PROCEDURES

Details of the systematic selection procedure we used can be found in Cochran's *Sampling Techniques* (1977). We used the corresponding SAS procedure SURVEYSELECT, which has an option for systematic selection (SAS Institute Inc. 1999). Our sample selection process was based on a stratified sample design and predetermined stratum sample sizes. The population was stratified by the 18 strata resulting from the cross of the three stratifying variables (enrollment status, super region, and age group). Independent samples were drawn from each stratum separately.

Using the stratum sample size $n_h$ for each stratum h ($h$ = 1, ..., 18), we used a systematic sample selection procedure with hierarchical serpentine sorting instead of simple random sampling to avoid the possibility of extreme concentrations of the selected sample in a few analytic domains. In selecting the sample size $n_h$ from the $N_h$ total beneficiaries in the $h^{th}$ stratum, we sorted all beneficiaries by family group (FAMCODE), region (REGSMPL), age (AGE_N), and sex (SEXSMPL). We then selected a beneficiary with an equal probability of being selected within each stratum to define a random starting point for sample selection. Beginning with the randomly selected beneficiary and treating the stratum list as circular, we used a systematic procedure and selected every $k^{th}$ beneficiary to yield the desired stratum $n_h$ sample, where k=N/n. We

[7] Hierarchical serpentine sorting is a technique in which the sort order is reversed as each boundary is crossed for higher-level sort variables, to preserve the similarity of adjacent children in the sorted list. This procedure ensures that the substrata, defined by the sorting variables, contain sample sizes proportional to their number in the population.

incorporated the SAS algorithms and wrote a custom program for the sample selection (Appendix D).

To limit the burden for any one family, we designed our sample to select only one child per household. While there are 1,860,452 child beneficiaries in the sample frame, there are only 1,028,185 families. Therefore, child beneficiaries were grouped into households according to their adult health benefits sponsor (FAMCODE). The selection interval used in systematic sampling is, in this case, larger than the number of children in any family. Therefore, within the same stratum no more than one child will be selected from each family. After sample selection, we checked whether multiple children from the same family were selected and found none.

## B. SAMPLING WEIGHT

The last step in sample selection was to compute the base sampling weight (BWT) for each record and add this variable to the sampling file that was delivered to the data collection contractor, National Research Corporation (NRC). We constructed the sampling weight on the basis of the sample design and selected the sample with differential probabilities of selection across strata. The sampling weights, which reflect these unequal sampling rates across strata, were defined as the inverse of the beneficiary's selection probability, or $BWT_{hi} = N_h/n_h$, where $BWT_{hi}$ is the sampling weight for the $i^{th}$ sampled beneficiary from the $h^{th}$ stratum, $N_h$ is the total number of beneficiaries in the $h^{th}$ stratum, and $n_h$ is the number of sampled beneficiaries from stratum $h$. The sum of the sampling weights over selections from the $h^{th}$ stratum equals the total population size of the $h^{th}$ stratum or $N_h$.

## C. CHECKS FOR THE SELECTED SAMPLE

After drawing the sample and creating the sampling weight, we evaluated the selection procedure by checking sample sizes and weighted sums for all strata. Appendix B contains the following frequency tables with unweighted and weighted counts:

- The number of sampled records for the stratification variable for geographic area (SUPREG) by stratification variable for enrollment group (ENLSMPL) by stratification variable for age group (AGESMPL)
- The number of sampled records for region (REGSMPL) by enrollment group (ENLSMPL) by age group (AGESMPL)
- The number of sampled records for region (REGSMPL) by sex (SEXSMPL)
- The weighted count of sampled records for the stratification variable for geographic area (SUPREG) by stratification variable for enrollment group (ENLSMPL) by stratification variable for age group (AGESMPL), where the weight is equal to BWT
- The weighted count of sampled records for region (REGSMPL) by enrollment group (ENLSMPL) by age group (AGESMPL), where the weight is equal to BWT
- The weighted count of sampled records for region (REGSMPL) by sex (SEXSMPL), where the weight is equal to BWT
- The population counts for the stratification variable for geographic area (SUPREG) by stratification variable for enrollment group (ENLSMPL) by stratification variable for age group (AGESMPL)
- The population counts for region (REGSMPL) by enrollment group (ENLSMPL) by age group (AGESMPL)
- The population counts for region (REGSMPL) by sex (SEXSMPL)

The sample counts after selection must be the same as the predetermined sample sizes for each stratum (SUPREG by ENLSMPL by AGESMPL). Also, the weighted sample counts must be the same as the population counts for each sampling stratum (SUPREG by ENLSMPL by AGESMPL). For analytic domains such as REGSMPL by ENLSMPL by AGESMPL and REGSMPL by SEXSMPL, sample count distributions were checked against the corresponding population distributions to ensure that no operational errors occurred and that the sample appeared to be reasonably balanced. Because the sampling rates used in the selection process varied, the weighted distributions for the analytic domains do not exactly match the population distributions.

After completing the sample checks, we attached the data elements that are used in the survey mailing and operations to each record in the sample extract file. We received addresses, as of March 31, 2004, for all beneficiaries in the DEERS extract file on April 12th, 2004.

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# APPENDIX A

# DEERS VARIABLES REQUESTED BY MPR

**Table A.1. List of Variables**

| *Variable Name* | *Variable Description* |
|---|---|
| ACV | Alternative care value |
| DAGEQY | Person age years quantity (as of 31 march 2004) |
| DBENCAT | Derived beneficiary category |
| DCATCH | Derived location catchment area DMIS code |
| DHSRGN | Derived location military health service region code |
| DMEDELG | Derived medical privilege code |
| DPRISM | Derived location prism service area code |
| DSPONSVC | Derived sponsor branch of service |
| ENRID | TRICARE prime and USFHP enrollment DMIS code |
| HADDFLG | Person's residential address flag |
| LEGDDSCD | DEERS dependent suffix code |
| MACITYNM | Person's residential address, city |
| MACTRYCD | Person's residential address, country |
| MALN1TX | Person's residential address, line 1 |
| MALN2TX | Person's residential address, line 2 |
| MAPRZIP | Person's residential address, zip code |
| MAPRZIPX | Person's residential address, zip code extension |
| MASTCD | Person's residential address, state |
| MBRRELCD | Member relationship code |
| MDCABRSN | Medicare A begin reason code |
| MDCAEFDT | Medicare A effective date |
| MDCEXDT | Medicare A expiration date |
| MEDTYPE | Medicare eligibility coded as one of these four values: (1) A - eligible for Medicare A only; (2) B - eligible for Medicare B only; (3) C - eligible for Medicare A and B; (4) N - no Medicare eligibility. |
| MRTLSTAT | Sponsor's marital status code |
| PATCAT | Aggregated beneficiary category |
| PAYPLNCD | Pay plan code |
| PCM | Primary care manager code |
| PGCD | Pay grade code |
| PN1STNM | Person first name |
| PNARSNCD | Person association reason code |
| PNBRTHDT | Person birth date |
| PNCDNCY | Person cadency code |
| PNID | Person identifier, which usually contains person's SSN |
| PNLCATCD | Personnel category code |
| PNLSTNM | Person last name |
| PNMIDNM | Person middle name |
| PNSEXCD | Person gender |

| | |
|---|---|
| PNTYPCD | Person type code |
| PTNT_ID | Unique patient id |
| RACEETHN | Race ethnic code |
| RANKCD | Sponsor’s Rank code |
| SADDFLG | Sponsor address flag |
| SPCITYNM | Sponsor address, city |
| SPCTRYCD | Sponsor address, country |
| SPDUPID | Sponsor duplicate identifier that represents whether this is first, second, third (and so on) occurrence of this sponsor identifier in DEERS |
| SPLN1TX | Sponsor address, line 1 |
| SPLN2TX | Sponsor address, line 2 |
| SPONSSN | Sponsor identifier, which usually contains the sponsor's SSN |
| SPPRZIP | Sponsor address, zip code |
| SPPRZIPX | Sponsor address, zip code extension |
| SPSTCD | Sponsor address, state |
| SPTNUMCD | Sponsor telephone number |
| SVCCD | Service branch classification code |
| TNEXREG | Beneficiary's T-nex region code that represents the next generation of contracts region grouping |
| TNUMCD | Person telephone number |
| UADDFLG | Unit address flag |
| UICADD1 | Unit address, line 1 |
| UICADD2 | Unit address, line 2 |
| UICCITY | Unit address, city |
| UICST | Unit address, state |
| UICZIP | Unit address, zip code |
| ULOCDMIS | Unit location DMIS code |
| ULOCGRN | Unit location military health service region code |

# APPENDIX B

# TABLES FOR SAMPLING CHECK

**Table B.1 Unweighted Counts From Sample**
**Supreg By Enlsmpl By Agesmpl**

| Super Region | Enrolled | | | Not Enrolled | | | |
|---|---|---|---|---|---|---|---|
| | 0<=Age<=5 | 6<=Age<=12 | 13<=Age<=17 | 0<=Age<=5 | 6<=Age<=12 | 13<=Age<=17 | Total |
| 1 | 1,946 | 2,260 | 1,797 | 1,697 | 2,051 | 1,964 | 11,715 |
| 2 | 2,160 | 2,116 | 1,789 | 1,695 | 1,877 | 1,907 | 11,544 |
| 3 | 1,993 | 2,246 | 1,873 | 1,708 | 1,945 | 1,976 | 11,741 |
| Total | 6,099 | 6,622 | 5,459 | 5,100 | 5,873 | 5,847 | 35,000 |

**Table B.2 Weighted Counts From Sample**
**Supreg By Enlsmpl By Agesmpl[8]**

| Super Region | Enrolled | | | Not Enrolled | | | |
|---|---|---|---|---|---|---|---|
| | 0<=Age<=5 | 6<=Age<=12 | 13<=Age<=17 | 0<=Age<=5 | 6<=Age<=12 | 13<=Age<=17 | Total |
| 1 | 142,262 | 167,425 | 107,699 | 55,535 | 93,998 | 96,175 | 663,093 |
| 2 | 163,297 | 156,120 | 103,178 | 31,377 | 49,474 | 56,398 | 559,844 |
| 3 | 143,545 | 168,298 | 121,877 | 44,400 | 73,498 | 85,897 | 637,515 |
| Total | 449,104 | 491,843 | 332,754 | 131,311 | 216,969 | 238,470 | 1,860,452 |

**Table B.3 Population Counts From Frame**
**Supreg By Enlsmpl By Agesmpl**

| Super Region | Enrolled | | | Not Enrolled | | | |
|---|---|---|---|---|---|---|---|
| | 0<=Age<=5 | 6<=Age<=12 | 13<=Age<=17 | 0<=Age<=5 | 6<=Age<=12 | 13<=Age<=17 | Total |
| 1 | 144,439 | 164,341 | 109,543 | 57,172 | 91,146 | 96,691 | 663,332 |
| 2 | 157,022 | 161,147 | 103,350 | 31,645 | 50,297 | 55,487 | 558,948 |
| 3 | 143,821 | 169,234 | 120,914 | 44,252 | 74,835 | 85,116 | 638,172 |
| Total | 445,282 | 494,722 | 333,807 | 133,069 | 216,278 | 237,294 | 1,860,452 |

[8] Although SUPREG, ENLSMPL, and AGESMPLE comprise the sampling stratum variable, the weighted counts in Table B.2 and the population counts in Table B.3 are not identical since the sampling stratum, *sampstr*, is assigned the value of the stratum associated with one randomly selected child in the family. For further details, please see the discussion in chapter 3, section 4 above.

**Table B.4 Unweighted Counts From Sample**
**Regsmpl By Enlsmpl By Agesmpl**

| | Enrolled | | | Not Enrolled | | | |
|---|---|---|---|---|---|---|---|
| Region | 0<=Age<=5 | 6<=Age<=12 | 13<=Age<=17 | 0<=Age<=5 | 6<=Age<=12 | 13<=Age<=17 | Total |
| Northeast | 641 | 855 | 702 | 699 | 839 | 799 | 4,535 |
| Mid-Atlantic | 862 | 888 | 654 | 466 | 545 | 549 | 3,964 |
| Southeast | 739 | 867 | 709 | 576 | 688 | 739 | 4,318 |
| Gulfsouth | 385 | 467 | 411 | 427 | 457 | 439 | 2,586 |
| Heartland | 443 | 517 | 441 | 532 | 667 | 616 | 3,216 |
| Southwest | 854 | 878 | 801 | 789 | 843 | 804 | 4,969 |
| Central | 869 | 912 | 753 | 705 | 800 | 798 | 4,837 |
| Southern California | 531 | 531 | 409 | 381 | 410 | 407 | 2,669 |
| Golden Gate | 146 | 156 | 137 | 135 | 174 | 213 | 961 |
| Northwest | 313 | 312 | 272 | 265 | 324 | 369 | 1,855 |
| Hawaii | 216 | 159 | 88 | 83 | 83 | 60 | 689 |
| Alaska | 100 | 80 | 82 | 42 | 43 | 54 | 401 |
| Total | 6,099 | 6,622 | 5,459 | 5,100 | 5,873 | 5,847 | 35,000 |

**Table B.5 Weighted Counts From Sample**
**Regsmpl By Enlsmpl By Agesmpl**

| | Enrolled | | | Not Enrolled | | | |
|---|---|---|---|---|---|---|---|
| Region | 0<=Age<=5 | 6<=Age<=12 | 13<=Age<=17 | 0<=Age<=5 | 6<=Age<=12 | 13<=Age<=17 | Total |
| Northeast | 47,271 | 62,951 | 41,806 | 23,004 | 38,295 | 39,133 | 252,460 |
| Mid-Atlantic | 62,583 | 65,789 | 39,242 | 15,432 | 25,191 | 26,904 | 235,140 |
| Southeast | 52,885 | 65,089 | 46,193 | 15,297 | 26,206 | 32,440 | 238,109 |
| Gulfsouth | 27,421 | 35,036 | 26,885 | 10,907 | 17,059 | 18,967 | 136,275 |
| Heartland | 32,408 | 38,685 | 26,651 | 17,099 | 30,512 | 30,137 | 175,493 |
| Southwest | 64,516 | 64,501 | 45,877 | 14,490 | 22,217 | 23,788 | 235,389 |
| Central | 63,239 | 68,173 | 48,800 | 18,196 | 30,233 | 34,490 | 263,132 |
| Southern California | 40,147 | 39,732 | 23,554 | 6,994 | 11,056 | 12,314 | 133,799 |
| Golden Gate | 10,892 | 11,262 | 8,015 | 2,550 | 4,465 | 6,325 | 43,509 |
| Northwest | 23,981 | 22,819 | 15,973 | 4,960 | 8,437 | 10,689 | 86,859 |
| Hawaii | 16,039 | 11,870 | 5,130 | 1,546 | 2,209 | 1,724 | 38,518 |
| Alaska | 7,722 | 5,936 | 4,629 | 835 | 1,090 | 1,557 | 21,770 |
| Total | 449,104 | 491,843 | 332,754 | 131,311 | 216,969 | 238,470 | 1,860,452 |

**Table B.6 Population Counts From Frame**
**Regsmpl By Enlsmpl By Agesmpl**

| | Enrolled | | | Not Enrolled | | | |
|---|---|---|---|---|---|---|---|
| Region | 0<=Age<=5 | 6<=Age<=12 | 13<=Age<=17 | 0<=Age<=5 | 6<=Age<=12 | 13<=Age<=17 | Total |
| Northeast | 48,659 | 60,511 | 42,692 | 23,269 | 37,287 | 39,042 | 251,460 |
| Mid-Atlantic | 63,566 | 65,175 | 40,645 | 15,670 | 24,566 | 26,803 | 236,425 |
| Southeast | 52,934 | 64,552 | 46,875 | 14,770 | 26,387 | 31,205 | 236,723 |
| Gulfsouth | 27,356 | 34,671 | 25,449 | 10,556 | 17,678 | 19,509 | 135,219 |
| Heartland | 32,214 | 38,655 | 26,206 | 18,233 | 29,293 | 30,846 | 175,447 |
| Southwest | 59,146 | 65,005 | 44,061 | 14,603 | 22,560 | 23,684 | 229,059 |
| Central | 63,531 | 70,011 | 48,590 | 18,926 | 30,770 | 34,402 | 266,230 |
| Southern California | 42,095 | 38,758 | 22,914 | 7,022 | 11,144 | 12,471 | 134,404 |
| Golden Gate | 11,633 | 11,851 | 8,225 | 2,677 | 4,937 | 6,173 | 45,496 |
| Northwest | 22,336 | 24,450 | 17,197 | 4,804 | 8,261 | 9,673 | 86,721 |
| Hawaii | 14,318 | 13,310 | 6,405 | 1,624 | 2,133 | 2,144 | 39,934 |
| Alaska | 7,494 | 7,773 | 4,548 | 915 | 1,262 | 1,342 | 23,334 |
| Total | 445,282 | 494,722 | 333,807 | 133,069 | 216,278 | 237,294 | 1,860,452 |

**Table B.7 Unweighted Counts From Sample**
**Regsmple By Sexsmpl**

| Region | Male | Female | Total |
|---|---|---|---|
| Northeast | 2,275 | 2,260 | 4,535 |
| Mid-Atlantic | 1,983 | 1,981 | 3,964 |
| Southeast | 2,220 | 2,098 | 4,318 |
| Gulfsouth | 1,316 | 1,270 | 2,586 |
| Heartland | 1,661 | 1,555 | 3,216 |
| Southwest | 2,549 | 2,420 | 4,969 |
| Central | 2,465 | 2,372 | 4,837 |
| Southern California | 1,361 | 1,308 | 2,669 |
| Golden Gate | 483 | 478 | 961 |
| Northwest | 958 | 897 | 1,855 |
| Hawaii | 363 | 326 | 689 |
| Alaska | 212 | 189 | 401 |
| Total | 17,846 | 17,154 | 35,000 |

**Table B.8 Weighted Counts From Sample**
**Regsmple By Sexsmpl**

| Region | Male | Female | Total |
|---|---|---|---|
| Northeast | 127,261 | 125,198 | 252,460 |
| Mid-Atlantic | 116,755 | 118,385 | 235,140 |
| Southeast | 123,308 | 114,801 | 238,109 |
| Gulfsouth | 69,449 | 66,826 | 136,275 |
| Heartland | 90,562 | 84,931 | 175,493 |
| Southwest | 119,351 | 116,038 | 235,389 |
| Central | 134,326 | 128,806 | 263,132 |
| Southern California | 68,410 | 65,389 | 133,799 |
| Golden Gate | 21,342 | 22,168 | 43,509 |
| Northwest | 45,303 | 41,556 | 86,859 |
| Hawaii | 20,267 | 18,251 | 38,518 |
| Alaska | 11,576 | 10,194 | 21,770 |
| Total | 947,910 | 912,542 | 1,860,452 |

**Table B.9 Population Counts From Frame**
**Regsmple By Sexsmpl**

| Region | Male | Female | Total |
|---|---|---|---|
| Northeast | 127,898 | 123,562 | 251,460 |
| Mid-Atlantic | 120,459 | 115,966 | 236,425 |
| Southeast | 119,795 | 116,928 | 236,723 |
| Gulfsouth | 68,790 | 66,429 | 135,219 |
| Heartland | 89,439 | 86,008 | 175,447 |
| Southwest | 115,866 | 113,193 | 229,059 |
| Central | 135,986 | 130,244 | 266,230 |
| Southern California | 68,881 | 65,523 | 134,404 |
| Golden Gate | 23,216 | 22,280 | 45,496 |
| Northwest | 44,589 | 42,132 | 86,721 |
| Hawaii | 20,246 | 19,688 | 39,934 |
| Alaska | 12,010 | 11,324 | 23,334 |
| Total | 947,175 | 913,277 | 1,860,452 |

# APPENDIX C

# VARIABLES DELIVERED TO NRC

## C.1 List Of Variables In The Data Set Delivered To NRC (Form C - Samplc02.Sd2)

| # | Variable | Type | Length | Label | Values | Source |
|---|---|---|---|---|---|---|
| 1 | ACV | Char | 1 | Alternate Care Value | A = Active Duty Prime enrollee<br>D = TRICARE Senior Prime enrollee<br>E = TRICARE Prime enrollee<br>U = Enrolled to Uniformed Services Family Health Plan (formerly USTFs)<br>Blank = Not enrolled in TRICARE Prime or USFHP | DEERS |
| 2 | AGESMPL | Num | 1 | Age Sampling Variable | 1 = 5 years or less<br>2 = 6 to 12 years<br>3 = 13 years or more | MPR |
| 3 | DAGEQY | Char | 3 | Beneficiary Age at time of Deers Extract | 17 or younger, Blank as missing | DEERS |
| 4 | DBENCAT | Char | 3 | Beneficiary Category | ACT = Active Duty<br>DA = Dependent of Active Duty<br>GRD = Guard/Reserve<br>DGR = Dependent of Guard/Reserve<br>RET = Retiree<br>DR = Dependent of Retiree<br>DS = Survivor<br>OTH = Other<br>Z = Unknown | DEERS |
| 5 | DCATCH | Char | 4 | Catchment Area at Time of Extract | | DEERS |
| 6 | DHSRGN | Char | 2 | Health Service Region | 01 - Northeast<br>02 - Mid-Atlantic<br>03 - Southeast<br>04 - Gulf South<br>05 - Heartland<br>06 - Southwest<br>07 - Central<br>08 - Central<br>09 - Southern California<br>10 - Golden Gate<br>11 - Northwest<br>12 - Hawaii<br>AK - Alaska<br>13 - Europe<br>14 - Pacific<br>15 - Latin America/Canada<br>XX/ZZ - Unknown | DEERS |
| 7 | DMEDELG | Char | 1 | Medical Privilege Code | 1 - Direct Care Only<br>2 - Direct Care and CHAMPUS<br>4 - Transitional Direct Care Only<br>5 - Transitional Direct Care and CHAMPUS<br>6 - Transitional Direct Care and Medicare<br>7 - Direct Care and Medicare | |
| 8 | DPRISM | Char | 4 | PRISM (20 mile) clinic service area | | DEERS |
| 9 | DSPONSVC | Char | 1 | Derived Sponsor Branch of Service | A = Army<br>C = Coast Guard<br>F = Air Force<br>M = Marine Corps<br>N = Navy<br>V = Navy Afloat<br>X = Other<br>Z = Unknown | DEERS |
| 10 | E1 | Char | 1 | Eligibility Indicator - Period 1 | Y = Yes, DEERS Eligible Period 1<br>N = No, Not DEERS Eligible Period 1 | MPR |
| 11 | E2 | Char | 1 | Eligibility Indicator - Period 2 | Y = Yes, DEERS Eligible Period 2<br>N = No, Not DEERS Eligible Period 2 | MPR |

## C.1 List Of Variables In The Data Set Delivered To NRC (Form C - Samplc02.Sd2)

| # | Variable | Type | Length | Label | Values | Source |
|---|---|---|---|---|---|---|
| | | | | | | |
| 12 | E3 | Char | 1 | Eligibility Indicator - Period 3 | Y = Yes, DEERS Eligible Period 3<br>N = No, Not DEERS Eligible Period 3 | MPR |
| 13 | E4 | Char | 1 | Eligibility Indicator - Period 4 | Y = Yes, DEERS Eligible Period 4<br>N = No, Not DEERS Eligible Period 4 | MPR |
| 14 | ENBGSMPL | Char | 2 | Enrollment by beneficiary category | 01 = "Active duty"<br>02 = "Active duty fam,Prime,civ PCM"<br>03 = "Active duty fam,Prime,mil PCM"<br>04 = "Active duty fam,non-enrollee"<br>05 = "Retired,<65,civ PCM"<br>06 = "Retired,<65,mil PCM"<br>07 = "Retired,<65,non-enrollee" | MPR |
| 15 | ENLSMPL | Num | 1 | Enrollment Group | 1 - Enrolled<br>2 - Not enrolled | MPR |
| 16 | ENRID | Char | 4 | Enrollment DMISID | | DEERS |
| 17 | HADDFLG | Num | 1 | Residential Address - FLAG | 0 = No address line1<br>1 = Address line1 present | DEERS |
| 18 | LEGDDSCD | Char | 2 | DEERS Dependent Suffix | 01-16 = Dependent child<br>20 = Sponsor<br>30-36 = Spouse of sponsor<br>40-42 = Mother of sponsor<br>45-48 = Father of sponsor<br>50-54 = Mother-in-law of sponsor<br>55-56 = Father-in-law of sponsor<br>60 = Children where number greater than 19 | DEERS |
| 19 | MACITYNM | Char | 20 | Residential Address - City | | DEERS |
| 20 | MACTRYCD | Char | 2 | Residential Address, Country | | DEERS |
| 21 | MALN1TX | Char | 40 | Residential Address - Line1 | | DEERS |
| 22 | MALN2TX | Char | 40 | Residential Address - Line2 | | DEERS |
| 23 | MAPRZIP | Char | 5 | Residential Address - ZIP | | DEERS |
| 24 | MAPRZIPX | Char | 4 | Residential Address - ZIPX | | DEERS |
| 25 | MASTCD | Char | 2 | Residential Address - State | | DEERS |
| 26 | MBRRELCD | Char | 1 | Member Relationship Code | A = Self<br>B = Spouse<br>C = Child or stepchild<br>D = Ward (not court ordered)<br>E = Ward (court ordered)<br>F = Dependent parent, stepparent, parent-in-law, or stepparent-in-law<br>G = Surviving spouse<br>H = Former spouse (20/20/20)<br>I = Former spouse (20/20/15)<br>J = Former spouse (10/20/10)<br>K = Former spouse (transitional assistance (composite)) | DEERS |
| 27 | MEDTYPE | Char | 1 | Medicare Eligibility | A - Medicare A<br>B - Medicare B<br>Blank - Neither Apply | |
| 28 | MPRID | Char | 8 | Unique MPR Identifier | | MPR |

## C.1 List Of Variables In The Data Set Delivered To NRC (Form C - Samplc02.Sd2)

| # | Variable | Type | Length | Label | Values | Source |
|---|---|---|---|---|---|---|
| 29 | MRTLSTAT | Char | 1 | Marital Status | A = Annulled<br>D = Divorced<br>I = Interlocutory decree<br>L = Legally separated<br>M = Married<br>N = Never married<br>S = Single / Not married [nonstandard]<br>W = Widow or widower<br>Z = Unknown | DEERS |
| 30 | PATCAT | Char | 7 | Aggregated Beneficiary Category | ACTDTY = Active Duty and Guard/Reserve (no age cut).<br>DEPACT = Dependent of Active Duty & Guard/Reserve (no age cut).<br>NADD<65 = Retiree, Dependent of Retiree, Survivor, & Other under the age of 65.<br>NADD65+ = Retiree, Dependent of Retiree, Survivor, & Other 65 years of age and older.<br>UNKNOWN = Unknown (Derived Beneficiary Category equal to Z) | DEERS |
| 31 | PAYPLNCD | Char | 5 | Pay Plan Code | | DEERS |
| 32 | PCM | Char | 3 | Enrolled to a Military or Civilian PCM | CIV = DMIS values of '8000' to '8050', or '6900' to '6916', or '7900' to '7916', or '0190' to '0199' (these last codes are USFHP enrollees).<br>MIL = All other enrollment DMIS Codes.<br>Blank = Not enrolled to TRICARE Prime or USFHP | DEERS |
| 33 | PGCD | Char | 2 | Pay Grade | 00 = Unknown<br>00 – ZZ (not WW) = Used when pay plan is civil service<br>01 = Used when pay plan is cadet<br>01 – 05 = Used when pay plan is warrant officer<br>01 – 09 = Used when pay plan is enlisted<br>01 – 11 = Used when pay plan is officer | DEERS |
| 34 | PN1STNM | Char | 20 | Beneficiary First Name | | DEERS |
| 35 | PNBRTHDT | Char | 8 | Beneficiary Date of Birth | | DEERS |
| 36 | PNCDNCY | Char | 4 | Beneficiary Generation | | DEERS |
| 37 | PNID | Char | 9 | Beneficiary/Dependent SSN | | DEERS |
| 38 | PNLCATCD | Char | 5 | Personnel Category Code (Duty Status) | A = Active duty<br>B = Presidential Appointee<br>C = DoD civil service<br>D = Disabled American veteran<br>E = DoD contractor<br>F = Former member<br>H = Medal of Honor<br>I = Other Government Agency Employee<br>J = Academy student<br>L = Lighthouse service<br>M = Non-government Agency Personnel<br>N = National Guard<br>O = Other Government Agency Contractor<br>Q = Reserve retiree<br>R = Retired<br>T = Foreign military<br>U = Foreign national employee<br>V = Reserve | DEERS |
| 39 | PNLSTNM | Char | 26 | Beneficiary Last Name | | DEERS |
| 40 | PNMIDNM | Char | 20 | Beneficiary Middle Name | | DEERS |

## C.1 List Of Variables In The Data Set Delivered To NRC (Form C - Samplc02.Sd2)

| # | Variable | Type | Length | Label | Values | Source |
|---|---|---|---|---|---|---|
| 41 | PNSEXCD | Char | 1 | Beneficiary Sex | F = Female<br>M = Male<br>Z = Unknown | DEERS |
| 42 | PNTYPCD | Char | 1 | Beneficiary Type Code | B = Both sponsor and dependent (i.e., the person has a joint marriage spouse)<br>D = Dependent<br>O = Other (e.g., someone who collapses in front of a military hospital and is treated at the hospital)<br>S = Sponsor<br>X = Prior sponsor (e.g., a sponsor who has been archived)<br>Y = Prior dependent (e.g., a dependent who has been archived) | DEERS |
| 43 | PRN | Num | 8 | Permanent Random Number | | MPR |
| 44 | PTNT_ID | Char | 10 | Unique Patient ID | | DEERS |
| 45 | RACEETHN | Char | 1 | Beneficiary Race/Ethnicity | A = American Indian or Alaskan Native<br>B = Asian or Pacific islander<br>C = Black (not Hispanic)<br>D = White (not Hispanic)<br>E = Hispanic<br>X = Other<br>Z = Unknown | DEERS |
| 46 | RANKCD | Char | 6 | Rank Code | See RANKCD.DOC for list of values | DEERS |
| 47 | REGSMPL | Num | 2 | Health Service Region Sampling Variable | 01 - Northeast<br>02 - Mid-Atlantic<br>03 - Southeast<br>04 - Gulf South<br>05 - Heartland<br>06 - Southwest<br>07 - Central<br>08 - Central<br>09 - Southern California<br>10 - Golden Gate<br>11 - Northwest<br>12 - Hawaii<br>16 - Alaska<br>13 – Other | MPR |
| 48 | SADDFLG | Num | 1 | Sponsor Address - FLAG | 0 = No address line1<br>1 = Address line1 present | DEERS |
| 49 | SPCITYNM | Char | 20 | Sponsor Address - City | | DEERS |
| 50 | SPCTRYCD | Char | 2 | Sponsor Address, Country | | DEERS |
| 51 | SPDUPID | Char | 1 | Family Sequence Number | 1 = First occurrence of an SSN<br>2 = Second occurrence of an SSN<br>3 = Third occurrence of an SSN<br>4 = Fourth occurrence of an SSN | DEERS |
| 52 | SPLN1TX | Char | 40 | Sponsor Address - Line1 | | DEERS |
| 53 | SPLN2TX | Char | 40 | Sponsor Address - Line2 | | DEERS |
| 54 | SPONSSN | Char | 9 | Sponsor Social Security Number | | DEERS |
| 55 | SPPRZIP | Char | 5 | Sponsor Residential Address - ZIP | | DEERS |
| 56 | SPPRZIPX | Char | 4 | Sponsor Address - ZIPX | | DEERS |
| 57 | SPSTCD | Char | 2 | Sponsor Residential Address - State | | DEERS |
| 58 | SPTNUMCD | Char | 14 | Sponsor Phone Number | | DEERS |

## C.1 List Of Variables In The Data Set Delivered To NRC (Form C - Samplc02.Sd2)

| # | Variable | Type | Length | Label | Values | Source |
|---|---|---|---|---|---|---|
| 59 | SSNSMPL | Char | 12 | SPONSSN \|\| SPDUPID \|\| LEGDDSCD<br>SSN Sampling Variable | | MPR |
| 60 | STRATUM | Char | 7 | Stratum | | MPR |
| 61 | SUPREG | Num | 1 | Super Region | 1 = New regions (1,2,5)<br>2 = Mature regions (6,9-12,16)<br>3 = Other regions (3,4,7,8) | MPR |
| 62 | SVCCD | Char | 1 | Branch of Service | A = Army<br>N = Navy<br>M = Marine Corps<br>F = Air Force<br>C = Coast Guard<br>D = Office of the Secretary of Defense<br>H = The Commissioned Corps of the PHS<br>O = The Commissioned Corps of the NOAA<br>1 = Foreign Army<br>2 = Foreign Navy<br>3 = Foreign Marine Corps<br>4 = Foreign Air Force<br>X = Not applicable | DEERS |
| 63 | TNEXREG | Char | 1 | Next Generation of Contracts Region | N = North (MHS Regions 1,2,5)<br>S = South (MHS Regions 3,4,6)<br>W = West (MHS Regions 7,8,9,10,11,12,AK)<br>O = Other (MHSRegions13,14,15,16 ) | DEERS |
| 64 | TNUMCD | Char | 14 | Residence Telephone Number | | DEERS |
| 65 | UADDFLG | Num | 1 | Unit Address - FLAG | 0 = No address line1<br>1 = Address line1 present | DEERS |
| 66 | UICADD1 | Char | 30 | Unit Address - Line1 | | DEERS |
| 67 | UICADD2 | Char | 30 | Unit Address - Line2 | | DEERS |
| 68 | UICCITY | Char | 30 | Unit Address - City | | DEERS |
| 69 | UICST | Char | 2 | Unit Address - State | | DEERS |
| 70 | UICZIP | Char | 5 | Unit Address - ZIP | | DEERS |
| 71 | ULOCDMIS | Char | 4 | Unit Address - DMIS Code | | DEERS |
| 72 | ULOCGRN | Char | 2 | Unit Address - Region | | DEERS |

# APPENDIX D

## SAS CODE

**1. Constructing the Extract and Crosswalk Files**

```
*************************************************************************
*
* PROGRAM: STI.SAS
* TASK:    DOD Health Care Survey, Sampling (8860-210/220)
* PURPOSE: Split STI2004 raw datasets into smaller parts for CDs and
*          convert entire dataset into SAS/SD2 format.
*
* WRITTEN: 10/18/2000 BY KEITH RATHBUN
*
* MODIFIED: 1) 04/22/2002 BY KEITH RATHBUN, Removed TSPSITE from FREQs.
*           2) 10/10/2003 BY DAWN FERRAGAMO, Added TNEXREG to FREQS.
*
* INPUTS:
*
* 1) STI2004.001 - RAW 2004 Q3 DEERS Population Extract File (Tape Part 1)
* 2) STI2004.002 - RAW 2004 Q3 DEERS Population Extract File (Tape Part 2)
*
* OUTPUTS:
*
* 1) STI001.SD2 - 2004 Q3 DEERS Population Extract File (CD Part 1)
* 2) STI002.SD2 - 2004 Q3 DEERS Population Extract File (CD Part 2)
* 3) STI003.SD2 - 2004 Q3 DEERS Population Extract File (CD Part 3)
* 4) STI004.SD2 - 2004 Q3 DEERS Population Extract File (CD Part 4)
*
* INCLUDES:
*
* 1) LAYOUT.SAS - Input STEP For Raw Data From STI
*
* NOTES:
*
* 1) The tape file sent by STI exceeded 4 GB in size.  The tape software
*    crashed the computer at the 4 GB unload point.  In order to successfully
*    unload this file, I split the tape file into two parts (STI2004.001
*    and STI2004.002).
* 2) Under the new contract (8860), the suvey year was changed
*    to be based on the year the survey is administered (2002)
*    as opposed to the questioning reference frame (2001).  This program
*    references folders named according to the new convention [i.e.
*    the survey administration year (2002 for project 8860)].
*
*************************************************************************
*;
LIBNAME OUT V612 "..\..\DATA\AFINAL";
OPTIONS PS=79 LS=132 COMPRESS=YES NOCENTER;

*************************************************************************
* PROCESS - MACRO PARAMETERS:
* 1) INUM  = Raw Input file extension
* 2) ONUM1 = SAS Output file 1 suffix
* 3) ONUM2 = SAS Output file 2 suffix
*************************************************************************;
%MACRO PROCESS(INUM=,ONUM1=,ONUM2=);

FILENAME  IN  "..\..\DATA\AFINAL\STI2004.&INUM";

DATA OUT.STI&ONUM1 OUT.STI&ONUM2;
   INFILE IN LRECL=99999 RECFM=V MISSOVER;
```

```
   %INCLUDE "LAYOUT.SAS";
   IF _N_ LE 2500000 THEN OUTPUT OUT.STI&ONUM1;
   ELSE OUTPUT OUT.STI&ONUM2;
RUN;

%MEND PROCESS;
*************************************************************************
* END PROCESS MACRO
*************************************************************************;

%PROCESS(INUM=001,ONUM1=001,ONUM2=002);
%PROCESS(INUM=002,ONUM1=003,ONUM2=004);

*************************************************************************
* PRINTIT - MACRO PARAMETERS:
* 1) PNUM = SAS output file suffix
*************************************************************************;
%MACRO PRINTIT(PNUM=);

TITLE1 "DOD Health Care Survey, Sampling (8860-210/220)";
TITLE2 "PROGRAM: STI.SAS,WRITTEN BY: KEITH RATHBUN, April 2004";
TITLE3 "OUTPUT: STI&PNUM..SD2";

PROC CONTENTS DATA=OUT.STI&PNUM; RUN;

PROC FREQ DATA=OUT.STI&PNUM;
   TABLES
      TNEXREG
      PNTYPCD
      MRTLSTAT
      PNSEXCD
      PNARSNCD
      MDCABRSN
      LEGDDSCD
      PNLCATCD
      SVCCD
      PAYPLNCD
      PGCD
      MBRRELCD
      RANKCD
      ULOCGRN
      ULOCDMIS
      RACEETHN
      DCATCH
      DMEDELG
      DAGEQY
      DBENCAT
      DPRISM
      DHSRGN
      DSPONSVC
      MEDTYPE
      ENRID
      ACV
      PCM
      PATCAT
   /MISSING LIST;
RUN;
%MEND PRINTIT;
*************************************************************************
```

```
* END PRINTIT MACRO
*************************************************************************;

%PRINTIT(PNUM=001);
%PRINTIT(PNUM=002);
%PRINTIT(PNUM=003);
%PRINTIT(PNUM=004);
```

```
*******************************************************************************
*
* PROGRAM:  LAYOUT.SAS
* TASK:     DOD Health Care Survey, Sampling (6077-210/220)
* PURPOSE:  INPUT step for the 2004 DEERS Extract file from STI
*
* WRITTEN:  10/18/2000 BY KEITH RATHBUN
*
* MODIFIED: 1) 04/22/2002 BY KEITH RATHBUN, Removed TSPSITE from layout.
*           2) 10/10/2003 BY DAWN FERRAGAMO, ADDED TNEXREG TO LAYOUT.
*           2) 04/09/2004 BY KEITH RATHBUN, ADDED PTNT_ID TO LAYOUT.
*
*******************************************************************************
*******************************************************************************
* Input RAW data (ignore delimiters!)
*******************************************************************************;
INPUT
   @1      SPONSSN    $CHAR9.
   @11     SPDUPID    $CHAR1.
   @13     PNTYPCD    $CHAR1.
   @15     PNID       $CHAR9.
   @25     PNBRTHDT   $CHAR8.
   @34     MRTLSTAT   $CHAR1.
   @36     PNSEXCD    $CHAR1.
   @38     PNARSNCD   $CHAR2.
   @41     MDCABRSN   $CHAR1.
   @43     MDCAEFDT   $CHAR8.
   @52     MDCAEXDT   $CHAR8.
   @61     LEGDDSCD   $CHAR2.
   @64     PNLCATCD   $CHAR1.
   @66     SVCCD      $CHAR1.
   @68     PAYPLNCD   $CHAR5.
   @74     PGCD       $CHAR2.
   @77     MBRRELCD   $CHAR1.
   @79     MALN1TX    $CHAR40.
   @120    MALN2TX    $CHAR40.
   @161    MACITYNM   $CHAR20.
   @182    MASTCD     $CHAR2.
   @185    MACTRYCD   $CHAR2.
   @188    MAPRZIP    $CHAR5.
   @194    MAPRZIPX   $CHAR4.
   @199    HADDFLG    $CHAR1.
   @201    TNUMCD     $CHAR14.
   @216    PNLSTNM    $CHAR26.
   @243    PN1STNM    $CHAR20.
   @264    PNMIDNM    $CHAR20.
   @285    PNCDNCY    $CHAR4.
   @290    RANKCD     $CHAR6.
   @297    ULOCGRN    $CHAR2.
   @300    ULOCDMIS   $CHAR4.
   @305    RACEETHN   $CHAR1.
   @307    DCATCH     $CHAR4.
   @312    DMEDELG    $CHAR1.
   @314    DAGEQY     $CHAR3.
   @318    DBENCAT    $CHAR3.
   @322    DPRISM     $CHAR4.
   @327    DHSRGN     $CHAR2.
   @330    DSPONSVC   $CHAR1.
```

```
   @332    MEDTYPE    $CHAR1.
   @334    UICADD1    $CHAR30.
   @365    UICADD2    $CHAR30.
   @396    UICCITY    $CHAR30.
   @427    UICST      $CHAR2.
   @430    UICZIP     $CHAR5.
   @436    UADDFLG    $CHAR1.
   @438    SPLN1TX    $CHAR40.
   @479    SPLN2TX    $CHAR40.
   @520    SPCITYNM   $CHAR20.
   @541    SPSTCD     $CHAR2.
   @544    SPCTRYCD   $CHAR2.
   @547    SPPRZIP    $CHAR5.
   @553    SPPRZIPX   $CHAR4.
   @558    SADDFLG    $CHAR1.
   @560    SPTNUMCD   $CHAR14.
   @575    ENRID      $CHAR4.
   @580    ACV        $CHAR1.
   @582    PCM        $CHAR3.
   @586    PATCAT     $CHAR7.
   @594    TNEXREG    $CHAR1.
   @596    PTNT_ID    $CHAR10.
 ;
*************************************************************************
* Construct SSNSMPL as SPONSSN & SPDUPID & LEGDDSCD
*************************************************************************;
LENGTH SSNSMPL $12;
SSNSMPL = SPONSSN || SPDUPID || LEGDDSCD ;

*************************************************************************
* LABEL variables
*************************************************************************;
LABEL
    SSNSMPL  =   "SSNSMPL - SPONSSN & SPDUPID & LEGDDSCD"
    SPONSSN  =   "Sponsor SSN"
    SPDUPID  =   "Family Sequence Number"
    PNTYPCD  =   "Person Type Code"
    PNID     =   "Person SSN"
    PNBRTHDT =   "Person Birth Date"
    MRTLSTAT =   "Marital Status"
    PNSEXCD  =   "Person Gender"
    PNARSNCD =   "Person Association Reason Code"
    MDCABRSN =   "Medicare A Begin Reason Code"
    MDCAEFDT =   "Medicare A Effective Date"
    MDCAEXDT =   "Medicare A Expiration Date"
    LEGDDSCD =   "DDS Code"
    PNLCATCD =   "Personnel Category Code (Duty Status)"
    SVCCD    =   "Branch of Service"
    PAYPLNCD =   "Pay Plan Code"
    PGCD     =   "Pay Grade"
    MBRRELCD =   "Member Relationship Code"
    MALN1TX  =   "Residential Address, Line 1"
    MALN2TX  =   "Residential Address, Line 2"
    MACITYNM =   "Residential Address, City"
    MASTCD   =   "Residential Address, State"
    MACTRYCD =   "Residential Address, Country"
    MAPRZIP  =   "Residential Address, ZIP Code"
    MAPRZIPX =   "Residential Address, ZIP Code Extension"
    HADDFLG  =   "Residential Address Flag"
```

```
   TNUMCD   =   "Residence Telephone Number"
   PNLSTNM  =   "Person Last Name"
   PN1STNM  =   "Person First Name"
   PNMIDNM  =   "Person Middle Name"
   PNCDNCY  =   "Person Generation (Cadency)"
   RANKCD   =   "Rank Code"
   ULOCGRN  =   "Unit Region"
   ULOCDMIS =   "Unit DMISID"
   RACEETHN =   "Race/Ethnic Code"
   DCATCH   =   "Catchment Area"
   DMEDELG  =   "Medical Privlege Code"
   DAGEQY   =   "Age (As of 31 March 2004)"
   DBENCAT  =   "Beneficiary Category"
   DPRISM   =   "PRISM (20 mile) clinic service area"
   DHSRGN   =   "Health Service Region"
   DSPONSVC =   "Derived Sponsor Branch of Service"
   MEDTYPE  =   "Medicare Type"
   UICADD1  =   "Unit Address, Line 1"
   UICADD2  =   "Unit Address, Line 2"
   UICCITY  =   "Unit Address, City"
   UICST    =   "Unit Address, State"
   UICZIP   =   "Unit Address, ZIP Code"
   UADDFLG  =   "Unit Address Flag"
   SPLN1TX  =   "Sponsor Address, Line 1"
   SPLN2TX  =   "Sponsor Address, Line 2"
   SPCITYNM =   "Sponsor Address, City"
   SPSTCD   =   "Sponsor Address, State"
   SPCTRYCD =   "Sponsor Address, Country"
   SPPRZIP  =   "Sponsor Address, ZIP Code"
   SPPRZIPX =   "Sponsor Address, ZIP Code Extension"
   SADDFLG  =   "Sponsor Address Flag"
   SPTNUMCD =   "Sponsor Telephone Number"
   ENRID    =   "Enrollment DMISID"
   ACV      =   "Alternate Care Value"
   PCM      =   "Primary Manager Code (CIV or MIL)"
   PATCAT   =   "Aggregated Beneficiary Category"
   TNEXREG  =   "Beneficiary's TNEX Region"
   PTNT_ID  =   "unique Patient ID"
;
```

```
*************************************************************************
*
* PROGRAM:  EXTRACTC.SAS
* TASK:     DOD Health Care Survey, Sampling (8860-220)
* PURPOSE:  Build SAS extract file for the DOD sample
*
* WRITTEN:  10/19/2000 BY KEITH RATHBUN
*
* MODIFIED:
* 1) 01/18/2001 BY KEITH RATHBUN - Small changes for Q2 processing.
*    Removed sorting of XWALK and EXTRACT files by MPRID.
* 2) 02/08/2001 BY KEITH RATHBUN - Small changes for Q3 processing.
*    Added specific family exclusion criteria as include file.
* 3) 04/16/2001 BY KEITH RATHBUN - Small changes for child Q3 processing.
* 4) 04/23/2002 BY KEITH RATHBUN for Q3 2002 processing and removed TSPSITE.
* 5) 04/09/2003 BY KEITH RATHBUN for Q3 2003 processing.
*
* INPUTS:
* 1) STI001.SD2 - 2004 Q3 DEERS Population SSN SAS data set (Part 1)
* 2) STI002.SD2 - 2004 Q3 DEERS Population SSN SAS data set (Part 2)
* 3) STI003.SD2 - 2004 Q3 DEERS Population SSN SAS data set (Part 3)
* 4) STI004.SD2 - 2004 Q3 DEERS Population SSN SAS data set (Part 4)
* 5) XWALKC.SD2 - DEERS Child Population XWALK SAS data set (sorted by
SSNSMPL)
*
* OUTPUTS:
* 1) EXTRACTC.SD2 - DEERS Child Population EXTRACT SAS data set (complete -
sorted by SSNSMPL)
*
* INCLUDES:
* 1) EXCLUDE.SAS - Exclude specific family by SPONSSN.
*
* NOTES:
* 1) Under the new contract (8860), the suvey year was changed
*    to be based on the year the survey is administered (2002)
*    as opposed to the questioning reference frame (2001).  This program
*    references folders named according to the new convention [i.e.
*    the survey administration year (2002 for project 8860)].
*
*************************************************************************
*;
LIBNAME  IN1 V612 "..\..\DATA\Afinal";     *STI/DEERS Extract files;
LIBNAME  IN2 V612 "..\..\DATA\Cfinal";
LIBNAME  OUT V612 "..\..\DATA\Cfinal";
OPTIONS PS=79 LS=132 COMPRESS=YES NOCENTER;

*************************************************************************
* Set up MACRO to exclude specific families from survey.
*************************************************************************;
%INCLUDE "EXCLUDE.SAS";

*************************************************************************
* Extract key sampling variables.
*************************************************************************;
%MACRO SORTIT(NUM=);
   PROC SORT DATA=IN1.STI&NUM
             (KEEP=SSNSMPL  PNTYPCD  MRTLSTAT  PNSEXCD
                   PNARSNCD MDCABRSN MDCAEFDT  MDCAEXDT
                   LEGDDSCD PNLCATCD SVCCD     PAYPLNCD
```

```
                PGCD     MBRRELCD RANKCD    ULOCGRN
                ULOCDMIS RACEETHN DCATCH    DMEDELG
                DAGEQY   DBENCAT  DPRISM    DHSRGN
                DSPONSVC MEDTYPE  ENRID     ACV
                PCM      PATCAT   SADDFLG   HADDFLG
                UADDFLG  MAPRZIP  TNEXREG)
             OUT=STI&NUM;
      BY SSNSMPL;
   RUN;
%MEND SORTIT;

%SORTIT(NUM=001);
%SORTIT(NUM=002);
%SORTIT(NUM=003);
%SORTIT(NUM=004);

*************************************************************************
* KEEP children (<18) and exclude specific families.
*************************************************************************;
DATA EXTRACT;
   SET STI001
       STI002
       STI003
       STI004
   ;
   BY SSNSMPL;
   IF NOT (DAGEQY GE "018" OR (DAGEQY = " " AND LEGDDSCD GE "20"));
   ************************************************************************
   * STI sent duplicates SSNSMPLs.  So, we let SAS remove them here.
   ************************************************************************;
   IF FIRST.SSNSMPL;
   ************************************************************************
   * Exclude specific families from survey.
   ************************************************************************;
   &EXCLUDE;
RUN;

DATA OUT.EXTRACTC;
   MERGE IN2.XWALKC(IN=IN1) EXTRACT(IN=IN2);
   BY SSNSMPL;
   IF IN1 AND IN2;
   DROP SSNSMPL;
RUN;

TITLE1 "Build SAS EXTRACT file for the 2004 DOD Q3 child sample";
TITLE2 "Program Name: EXTRACTC.SAS, Written by Keith Rathbun, April 2003";

TITLE3 "CONTENTS of extract file";
PROC CONTENTS DATA=OUT.EXTRACTC; RUN;

TITLE3 "FREQS of key variables - 2004 Q3 DEERS child population extract:
EXTRACTC.SD2";
PROC FREQ DATA=OUT.EXTRACTC;
   TABLES
      E1 E2 E3 E4
      E1*E2*E3*E4
      PNTYPCD
      MRTLSTAT
      PNSEXCD
```

```
      PNARSNCD
      MDCABRSN
      LEGDDSCD
      PNLCATCD
      SVCCD
      PAYPLNCD
      PGCD
      MBRRELCD
      RANKCD
      ULOCGRN
      ULOCDMIS
      RACEETHN
      DCATCH
      DMEDELG
      DAGEQY
      DBENCAT
      DPRISM
      DHSRGN
      DSPONSVC
      MEDTYPE
      ENRID
      ACV
      PCM
      PATCAT
  /MISSING LIST;
RUN;
```

```
*************************************************************************
*
* PROGRAM:  XWALKC.SAS
* TASK:     DOD Health Care Survey, Adult Sampling (8860-220)
* PURPOSE:  Build SAS extract/cross-walk file for the DOD sample
*           and assign permanent random numbers (PRN).
*
* WRITTEN:  01/17/2001 BY KEITH RATHBUN
*
* MODIFIED:
* 1) 02/08/2001 BY KEITH RATHBUN for Q3 processing.  Also, added
*    specific family exclusion criteria as include file.
* 2) 07/09/2001 BY KEITH RATHBUN for Q4 processing.  Removed Q3-specific
*    processing.
* 3) 10/09/2001 BY KEITH RATHBUN for Q1 2002 processing.
* 4) 01/22/2002 BY KEITH RATHBUN for Q2 2002 processing.
* 5) 04/10/2002 BY KEITH RATHBUN for Q3 2002 processing.
* 6) 04/11/2002 BY KEITH RATHBUN, adapted from XWALK.SAS for Q3
*    2002 child processing.
* 7) 04/09/2003 BY KEITH RATHBUN, updated for Q3 2003 child processing.
*
* INPUTS:
* 1) STI001.SD2 - 2004 Q3 DEERS Population SSN SAS data set (Part 1)
* 2) STI002.SD2 - 2004 Q3 DEERS Population SSN SAS data set (Part 2)
* 3) STI003.SD2 - 2004 Q3 DEERS Population SSN SAS data set (Part 3)
* 4) STI004.SD2 - 2004 Q3 DEERS Population SSN SAS data set (Part 4)
* 5) XWALKC.SD2 - 2003 Q3 DEERS Child Population XWALK SAS data set
*
* OUTPUTS:
* 1) XWALKC.SD2 - 2004 Q3 DEERS Child Population XWALK SAS data set
* 2) SEEDC.SD2  - 2004 Q3 DEERS Child Random SEED SAS data set
*
* INCLUDES:
* 1) EXCLUDE.SAS - Exclude specific family by SPONSSN.
*
* NOTES:
* 1) Under the new contract (8860), the suvey year was changed
*    to be based on the year the survey is administered (2002)
*    as opposed to the questioning reference frame (2001).  This program
*    references folders named according to the new convention [i.e.
*    the survey administration year (2002 for project 8860)].
*
*************************************************************************;
LIBNAME  IN1 V612 '..\..\..\Q3_2003\DATA\CFINAL'; * Previous XWALK;
LIBNAME  IN2 V612 '..\..\DATA\AFINAL';            * Current STI Tape Files;
LIBNAME  OUT V612 '..\..\DATA\CFINAL';            * Current Output;
OPTIONS PS=79 LS=132 COMPRESS=NO NOCENTER;

*************************************************************************
* Set period number as global variable.  Period 1,2,3 represent 2001, 2002,
* 2003 survey administration years.
*************************************************************************;
%LET PD = 4;

*************************************************************************
* Set up MACRO to exclude specific families from survey.
*************************************************************************;
%INCLUDE "EXCLUDE.SAS";
```

```
TITLE1 "Generate Child XWALK file from 2004 Q3 DOD DEERS Population Extract
File";
TITLE2 "Program Name: XWALKC.SAS, Written by Keith Rathbun, April 2003";

*************************************************************************
* Assign random SEED as global variable.  This will later be used as the
* starting point for random numbering.
*************************************************************************;
DATA OUT.SEEDC;
   SEED = INT(RANUNI(0)*1000000+1);
   CALL SYMPUT("SEED",SEED);
   PUT "Random SEED assigned for generating the permanent radom numbers: "
SEED;
RUN;

TITLE3 "Random SEED assigned for generating the permanent radom numbers:
SEEDC.SD2";
PROC PRINT; RUN;

*************************************************************************
* Assign LASTID from previous XWALKC file as global variable.  This will
later
* be used as the starting point for assigning new MPRIDs.
*************************************************************************;
DATA _NULL_;
   SET IN1.XWALKC END=FINISHED;
   LENGTH MPRIDX 8; RETAIN MPRIDX;
   IF MPRID > MPRIDX THEN MPRIDX = MPRID;
   IF FINISHED THEN CALL SYMPUT("LASTID",MPRIDX);
RUN;

*************************************************************************
* Get SSNSMPLs from current quarter tape file.
*************************************************************************;
%MACRO SORTIT(NUM=);
   PROC SORT DATA=IN2.STI&NUM (KEEP=SSNSMPL LEGDDSCD DAGEQY) OUT=STI&NUM;
       BY SSNSMPL;
   RUN;
%MEND SORTIT;

%SORTIT(NUM=001);
%SORTIT(NUM=002);
%SORTIT(NUM=003);
%SORTIT(NUM=004);

*************************************************************************
* Remove children (<18) prior to assigning permanent random number (PRN).
*************************************************************************;
DATA SSN_Q(KEEP=SSNSMPL);
   SET STI001
       STI002
       STI003
       STI004
      ;
   BY SSNSMPL;
   IF NOT (DAGEQY GE "018" OR (DAGEQY = " " AND LEGDDSCD GE "20"));
   ************************************************************************
   * STI sent duplicates SSNSMPLs.  So, we let SAS remove them here.
   ************************************************************************;
```

```
   IF FIRST.SSNSMPL;
   ************************************************************************
   * Exclude specific families from survey.
   ************************************************************************;
   &EXCLUDE;
RUN;

************************************************************************
* Combine Qn SSNSMPLs with previous XWALK (SSN_OLD) keeping only the
* new eligibles (SSN_NEW).
************************************************************************;
DATA SSN_NEW OLDXWALK;
   MERGE SSN_Q(IN=IN1 KEEP=SSNSMPL) IN1.XWALKC(IN=IN2);
   BY SSNSMPL;

   ************************************************************************
   * Assign eligibility indicator for new eligibles.
   ************************************************************************;
   LENGTH E&PD $1;
   IF IN1 AND IN2 THEN E&PD = "Y";
   ELSE IF IN1    THEN E&PD = "Y";
   ELSE IF IN2    THEN E&PD = "N";
   LABEL E&PD = "Eligibility indicator for period = &PD";

   IF IN1 AND NOT IN2 THEN OUTPUT SSN_NEW;
   IF IN2 THEN OUTPUT OLDXWALK;
RUN;

************************************************************************
* Assign PRN for all new eligibles.
************************************************************************;
DATA NEWXWALK (KEEP=MPRID SSNSMPL PRN E&PD);
   SET SSN_NEW;
   LENGTH MPRID  $8;
   ************************************************************************
   * Assign eligibility indicator for new eligibles.
   ************************************************************************;
   LENGTH E&PD   $1;
   E&PD = "Y";
   LABEL E&PD = "Eligibility indicator for period = &PD";
   ************************************************************************
   * Assign PRN for new eligibles.
   ************************************************************************;
   PRN = RANUNI(&SEED);
   LABEL PRN = "Permanent Random Number";
   ************************************************************************
   * Assign MPRID starting with previous XWALKs LASTID+1.
   ************************************************************************;
   IF _N_ = 1 THEN MPRIDX = %EVAL(&LASTID+1);
   ELSE MPRIDX + 1; RETAIN MPRIDX;
   MPRID  = PUT(MPRIDX,Z8.);
RUN;

%MACRO XWALKC;
DATA OUT.XWALKC;
   SET NEWXWALK OLDXWALK;
   BY SSNSMPL;
   ************************************************************************
   * Recode missing values to Not eligible.
```

```
   ************************************************************************;
   %DO I = 1 %TO &PD;
       IF E&I = " " THEN E&I = "N";
   %END;
RUN;
%MEND XWALKC;
%XWALKC;

TITLE3 "XWALK file: XWALKC.SD2";
PROC CONTENTS; RUN;

PROC FREQ;
   TABLES E1-E&PD E1*E2*E3*E4 /MISSING LIST;
RUN;
```

## 2. Constructing the Child Sampling Frame

```
*************************************************************************
***
*** Project: 2004 Health Care Survey of DoD Beneficiaries - Child
*** Project number: 6077
*** Task number: 220
***
*** Purpose: Create the frame for the child survey.
***
*** Date:       April 23, 2003
*** Programmer: Nancy A. Clusen
***
*** Program: F:\DOD\Q3_2004\programs\sampling\framec.sas,
***          Creates the child sampling frame.
***
*** Inputs:  F:\DOD\Q3_2004\Data\Cfinal\extractc.sd2
***          Extracted DoD data set used to creat the child sampling frame.
***
***          F:\DOD\Q3_2004\Data\Cfinal\xwalkc.sd2
***          Provides the family identifier .
***
*** Outputs: F:\DOD\Q3_2004\Data\Cfinal\framec.sd2
***          Child sampling frame created from the extracted DoD data set.
***
*** Notes:   None
*** Updated: Haixia Xu on 04/15/2004 for 2004 child sampling
***
*************************************************************************;

*** Setup the titles ***;
title1 '2004 Health Care Survey of DoD Beneficiaries - Child';
title2 'Program: F:\DOD\Q3_2004\Programs\Sampling\framec.sas by Nancy A.
Clusen';
title3 'Create the Child Sampling Frame';

*** Setup the options ***;
options ls=132 ps=79 nocenter compress=yes;

*** Setup the paths where the files are located ***;
libname in  v6 'F:\DOD\Q3_2004\Data\Cfinal'; /* extractc.sd2, xwalkc.sd2 */
libname out v6 'F:\DOD\Q3_2004\Data\Cfinal';

title5 'Check the Contents of the Extracted DoD Data Set';
proc contents data=in.extractc;
run;

title5 'Check Some Important Variables';
proc freq data=in.extractc;
table dageqy patcat pcm dhsrgn pnsexcd svccd / list missing;
run;

title5 'Check the Contents for the Family Identifier';
proc contents data=in.xwalkc;
run;

*** Create the formats ***;
proc format;
```

```
   value agesmpl 1 = ’Younger than 6’
                 2 = ’6 to 12’
                 3 = ’13 to 17’
                 4 = ’Other’
                 other = ’Error’;
   value bgcsmpl 1 = ’Active Duty’
                 2 = ’Active Duty Family Member’
                 3 = ’Retirees and Family Memeber’
                 4 = ’Other’
                 other = ’Error’;
   value enlsmpl 1 = ’Enrolled’
                 2 = ’Not Enrolled’
                 4 = ’Other’
                 other = ’Error’;
   value regsmpl 1 = ’Northeast’
                 2 = ’Mid-Atlantic’
                 3 = ’Southeast’
                 4 = ’Gulf South’
                 5 = ’Heartland’
                 6 = ’Southwest’
                 7 = ’Central’
                 8 = ’Central’
                 9 = ’Southern California’
                10 = ’Golden Gate’
                11 = ’Norhtwest’
                12 = ’Hawaii’
                16 = ’Alaska’
                13 = ’Other’
                other = ’Error’;
   value supreg 1 = ’New Region’
                2 = ’Mature Region’
                3 = ’Other Region’
                4 = ’Not a Region’
                other = ’Error’;
   value sexsmpl 1 = ’Male’
                 2 = ’Female’
                 3 = ’Other’
                 other = ’Error’;
   value svcsmpl 1 = ’Army’
                 2 = ’Navy’
                 3 = ’Air Force’
                 4 = ’Marine Corps’
                 5 = ’Coast Guard’
                 6 = ’Other’
                 other = ’Error’;
run;

*** Sort the data sets***;
proc sort data=in.extractc;
by mprid prn;
run;

proc sort data=in.xwalkc;
by mprid prn;
run;

***Merge the data sets to create the frame***;
data out.framec;
merge in.extractc in.xwalkc;
```

```
by mprid prn;

*** Create the age group stratification variable: agesmpl ***;
if dageqy = ' ' then agesmpl = 1;
else if '000' <= dageqy < '006' then agesmpl = 1;
else if '006' <= dageqy < '013' then agesmpl = 2;
else if '013' <= dageqy <= '017' then agesmpl = 3;
else agesmpl = 4;

*** Create a numberic age variable: age_n ***;
age_n = input(dageqy,3.0);
if age_n = . then age_n = 0;

*** Create the beneficiary group variable: bgcsmpl ***;
if patcat = 'DEPACT' then bgcsmpl = 2;
else if patcat = 'NADD<65' then bgcsmpl = 3;
else if patcat = 'ACTDTY' then bgcsmpl = 1;
else bgcsmpl = 4;

*** Create the enrollment status of beneficiary variable: enlsmpl ***;
*** Changed form 3 levels to 2 levels Dod q3 2002;
if pcm in( 'MTF', 'CIV') then enlsmpl = 1;
else if pcm = ' ' then enlsmpl = 2;
else enlsmpl = 4;

*** Create the geographic region variable: regsmpl ***;
select (dhsrgn);
when ('01') regsmpl =  1;
when ('02') regsmpl =  2;
when ('03') regsmpl =  3;
when ('04') regsmpl =  4;
when ('05') regsmpl =  5;
when ('06') regsmpl =  6;
when ('07') regsmpl =  7;
when ('08') regsmpl =  8;
when ('09') regsmpl =  9;
when ('10') regsmpl = 10;
when ('11') regsmpl = 11;
when ('12') regsmpl = 12;
when ('AK') regsmpl = 16;
otherwise regsmpl = 13;
end;

*** Create the geographic area variable: supreg ***;
if regsmpl in (1,2,5) then supreg = 1;
else if regsmpl in (6,9,10,11,12,16) then supreg = 2;
else if regsmpl in (3,4,7,8) then supreg = 3;
else supreg = 4;

*** Create the beneficiary gender variable: sexsmpl ***;
*** Missing, Z or ' ', is considered male ***;
if pnsexcd in ('M','Z') then sexsmpl = 1;
else if pnsexcd = ' ' then sexsmpl = 1;
else if pnsexcd = 'F' then sexsmpl = 2;
else sexsmpl = 3;

*** Create the branch of service variable: svcsmpl ***;
select (svccd);
when ('A') svcsmpl = 1;
```

```
when ('N') svcsmpl = 2;
when ('F') svcsmpl = 3;
when ('M') svcsmpl = 4;
when ('C') svcsmpl = 5;
otherwise svcsmpl = 6;
end;

*** Create the sampling stratum: stratum ***;
length stratum $3.;
stratum = put(supreg,1.) || put(enlsmpl,1.) || put(agesmpl,1.);

*** Create the family variable: family ***;
family = input(substr(ssnsmpl,1,9),9.0);

*** Label the variables ***;
LABEL SVCSMPL = 'SVCSMPL - Branch of Service'
      AGESMPL = 'AGESMPL - Age'
      SEXSMPL = 'SEXSMPL - Sex'
      REGSMPL = 'REGSMPL - Region'
      STRATUM = 'prelim STRATUM: supreg+enlsmpl+agesmpl'
      BGCSMPL = 'BGCSMPL - Beneficiary Group'
      ENLSMPL = 'ENLSMPL - Enrollment Sampling Group'
      SUPREG  = 'SUPREG - Super Region'
      FAMILY  = 'FAMILY - Family';
*** Exclude people not in the United States ***;
if regsmpl ne 13 then output out.framec;
run;

title5 'Check the Constructed Variables';
proc freq data=OUT.framec;
table agesmpl*dageqy
      age_n*dageqy
      bgcsmpl*patcat
      enlsmpl*pcm
      regsmpl*dhsrgn
      supreg*regsmpl
      sexsmpl*pnsexcd
      svcsmpl*svccd
      / list missing;
format agesmpl agesmpl.
       bgcsmpl bgcsmpl.
       enlsmpl enlsmpl.
       regsmpl regsmpl.
       supreg supreg.
       sexsmpl sexsmpl.
       svcsmpl svcsmpl.;
run;

proc freq data=OUT.framec;
table stratum*supreg*enlsmpl*agesmpl / list missing;
run;

*** Create the family code variable: famcode ***;
proc sort data=OUT.framec;
by family;
run;

data OUT.framec;
set OUT.framec;
```

```
by family;
retain famcode 0;
if first.family then famcode = famcode + 1;
label famcode = ’FAMCODE - Family Code’;
run;

proc print data=OUT.framec (obs = 500);
var famcode family ssnsmpl;
run;

*** Create the sampling strata variable: sampstr ***;
data OUT.framec;
set OUT.framec;
rannum = ranuni(8269403);
run;

proc sort data=OUT.framec;
by famcode rannum;
run;

data out.framec;
set OUT.framec;
by famcode;
retain sampstr ’000’;
if first.famcode = 1 then sampstr = stratum;
label sampstr = ’SAMPSTR-final sampling stratum’;
;
run;

proc print data=OUT.framec (obs = 500);
var famcode sampstr;
run;

proc freq data=OUT.framec;
table sampstr*stratum / list missing;
run;

title5 ’Population Counts by Stratification Variables’;
proc freq data=OUT.framec;
table stratum sampstr / list missing;
run;

************ The End *************;
```

```
*************************************************************************
***
*** Project:   2004 Health Care Survey of DoD Beneficiaries - Child
*** Project number: 6077
*** Task number: 220
***
*** Purpose: Create the count data set for the child survey.  This consists
***          of the population counts by various cell definitions:
***
***             PSUM0 = Stratifcation Variable Count
***             PSUM1 = SUPREG Count
***             PSUM2 = ENLSMPL Count
***             PSUM3 = AGESMPL Count
***             TOTAL = Total Population
***
*** Date:       April  2003
*** Programmer: Nancy A. Clusen Initial program by Keith Rathbun.
***
*** Program: F:\DOD\Q3_2004\Programs\Sampling\countc.sas,
***          Creates the child sampling frame.
***
*** Inputs:  F:\DOD\Q3_2004\Data\Cfinal\framec.sd2
***          Child sampling frame created from the extracted DoD data set.
***
*** Outputs: F:\DOD\Q3_2004\Data\Cfinal\countc.sd2
***          Population counts by various cell definitions.
***
*** Notes: None
*** Updated: Haixia Xu on 4/16/2004 for 2004 Child sampling
*************************************************************************;

*** Setup the titles. ***;
title1 '2004 Health Care Survey of DoD Beneficiaries - Child';
title2 'Program: \\DOD\Q3_2004\Programs\Sampling\countc.sas by Nancy A.
Clusen';
title3 'Create population counts by various cell definitions.';

*** Setup the options. ***;
options ls=132 ps=79 nocenter compress=yes mlogic mprint symbolgen;

*** Setup the paths where the files are located. ***;
libname in   'F:\DOD\Q3_2004\Data\Cfinal';
libname out  'F:\DOD\Q3_2004\Data\Cfinal';

*** Set the stratification variable. ***;
%let strata = sampstr;

data framec ;
set in.framec; /*(keep = sampstr prn)*/
supreg = input(substr(sampstr,1,1),1.);
enlsmpl = input(substr(sampstr,2,1),1.);
agesmpl = input(substr(sampstr,3,1),1.);
run;

TITLE5 "FREQS of FRAMEC.SD2";
PROC FREQ DATA=framec;
   TABLES &strata. SUPREG ENLSMPL AGESMPL
  /MISSING LIST;
```

```
RUN;

PROC SORT DATA=framec OUT=FRAMEC;
   BY &strata. SUPREG ENLSMPL AGESMPL;
RUN;

PROC MEANS DATA=FRAMEC NOPRINT;
   BY  &strata. SUPREG ENLSMPL AGESMPL;
   VAR ENLSMPL;
   OUTPUT
   OUT=T0(KEEP=&strata. SUPREG ENLSMPL AGESMPL)
   N=DUMMY;
RUN;

PROC FREQ DATA=FRAMEC NOPRINT;
   TABLES &strata.
  /MISSING LIST OUT=T1(RENAME=(COUNT=PSUM0)
                KEEP=COUNT &strata.) NOPERCENT NOCUM NOPRINT;
RUN;

PROC FREQ DATA=FRAMEC NOPRINT;
   TABLES SUPREG
  /MISSING LIST OUT=T2(RENAME=(COUNT=PSUM1)
                KEEP=COUNT SUPREG) NOPERCENT NOCUM NOPRINT;
RUN;

PROC FREQ DATA=FRAMEC NOPRINT;
   TABLES ENLSMPL
  /MISSING LIST OUT=T3(RENAME=(COUNT=PSUM2)
                KEEP=COUNT ENLSMPL) NOPERCENT NOCUM NOPRINT;
RUN;

PROC FREQ DATA=FRAMEC NOPRINT;
   TABLES AGESMPL
  /MISSING LIST OUT=T4(RENAME=(COUNT=PSUM3)
                KEEP=COUNT AGESMPL) NOPERCENT NOCUM NOPRINT;
RUN;

PROC SORT DATA=T0; BY &strata.; RUN;
DATA T0;
   MERGE T0 T1;
   BY  &strata.;
RUN;

PROC SORT DATA=T0; BY SUPREG; RUN;
DATA T0;
   MERGE T0 T2;
   BY  SUPREG;
RUN;

PROC SORT DATA=T0; BY ENLSMPL; RUN;
DATA T0;
   MERGE T0 T3;
   BY  ENLSMPL;
RUN;

PROC SORT DATA=T0; BY AGESMPL; RUN;

proc means data=framec noprint;
```

```
var prn;
output out=total n=total;
run;

DATA OUT.COUNTC;
if _n_=1 then set total(drop = _type_ _freq_);
   MERGE T0 T4;
   BY  AGESMPL;
   LABEL PSUM0 = 'PSUM0 - &strata. Count'
         PSUM1 = 'PSUM1 - SUPREG Count'
         PSUM2 = 'PSUM2 - ENLSMPL Count'
         PSUM3 = 'PSUM3 - AGESMPL Count'
         TOTAL = 'TOTAL Population'
        ;
RUN;

TITLE5 "Information for COUNTC.SD2";

PROC CONTENTS data=in.countc;
RUN;

PROC PRINT data=in.countc;
var &strata. supreg enlsmpl agesmpl psum0-psum3 total;
RUN;
```

```
*************************************************************************
***
*** Project:        2004 Health Care Survey of DoD Beneficiaries - Child
*** Project Number: 6077
*** Task Number:    220
***
*** Purpose: Sample size determination for the 2003 DoD Child sample design
***
***
*** Date:       April  2003
*** Programmer: Nancy A. Clusen Initial program by Keith Rathbun.
***
*** Program:  F:\DOD\Q3_2004\Programs\Sampling\samsizec.sas,
***          Determine sample sizes for all child samples
***
*** Inputs:  F:\DOD\Q3_2004\Data\Cfinal\countc.sd2
***          Population counts by various cell definitions.
***
*** Outputs: F:\DOD\Q3_2004\Data\Cfinal\samsizec.sd2
***          Sample sizes by various cell definitions.
***
*** Notes: None
***
*** Updated: emf 04/16/2004 for 2004 Child sampling
*************************************************************************;
*** Setup the titles. ***;
title1 '2003 Health Care Survey of DoD Beneficiaries - Child';
title2 'F:\DOD\Q3_2004\Programs\Sampling\samsizec.sas by Nancy A. Clusen';
title3 'Determine sample sizes for all child samples.';

LIBNAME IN   'F:\DOD\Q3_2004\Data\Cfinal\';
OPTIONS PS=79 LS=132 ERRORS=2 NOCENTER mlogic mprint symbolgen;

%LET P = .5;            ***PRODUCE THE MOST CONSERVATIVE SAMPLE SIZES****;
%LET Z = 1.96;          ***97.5TH PERCENTILE FOR Z-DIST******************;
%LET HLA0 = .03927231;        ***HALF LENGTH FOR EACH STRATUM*****;
%LET SSQUARE = &P*(1-&P); ***FORMULA FOR VARIANCE OF P***************;

/*-------------------------------------------------------------------------
        MACRO:  CALCULATE NUMERICAL PORTIONS OF VARIANCES GIVEN SAMPLE SIZES
-------------------------------------------------------------------------*/
%MACRO VAR(DAT,DOMAIN,POPSIZE,NH,ODAT);
DATA VARA;
        SET &DAT;BY &DOMAIN;
        VH=&POPSIZE**2*((&POPSIZE-&NH)/(&POPSIZE-1))*DE*&SSQUARE/&NH;
RUN;

PROC MEANS DATA=VARA NOPRINT;
        VAR VH;BY &DOMAIN;
        OUTPUT OUT=&ODAT SUM=VSUM;
RUN;
%MEND VAR;

*************************************************************************
*       TO DETERMINE OPTIMAL STRATUM SIZES GIVEN PREDETERMINED VARIANCE
*************************************************************************;
%MACRO OPTALLO(DAT,DOMAIN,POPSIZE,V0,ODAT);
/*-----------------------------------------------------
        TO CALCULATE PARTIAL SUMS OF REMAINING DOMAIN SIZES
```

```
        NOTE:  THIS SUM can be DIFFERENT FROM THE DOMAIN TOTAL !!!
-------------------------------------------------------------*/
DATA &DAT;SET &DAT;
        DEN = (&POPSIZE/DSUM&ITE)**2*DE/(&POPSIZE-1);
        COM = &POPSIZE*SQRT(DE*&POPSIZE/(&POPSIZE-1));
        NUM = COM/DSUM&ITE;
RUN;
PROC MEANS DATA=&DAT NOPRINT;
        VAR NUM DEN COM;BY &DOMAIN;
        OUTPUT OUT=DSIZEA SUM=NUMS DENS COMS;
RUN;

DATA &ODAT;
        MERGE &DAT DSIZEA;BY &DOMAIN;
        ND=(&SSQUARE*NUMS**2)/(&V0+&SSQUARE*DENS);
        NHO=ND*COM/COMS;
        DROP ND NUM DEN COM NUMS DENS COMS;
RUN;
%MEND OPTALLO;
/*-----------------------------------------------------------------
        TO RETRIVE THE NUMBER OF OBSERVATIONS IN A SAS DATA SET
-----------------------------------------------------------------*/
%MACRO NUMOBS(DSN);
        %GLOBAL NUM;/* THIS MACRO CONTAINS THE NUMBER OF OBS IN THE DATA*/
        DATA _NULL_;
                IF 0 THEN SET &DSN NOBS=COUNT;
                CALL SYMPUT('NUM',LEFT(PUT(COUNT,8.)));
                STOP;
        RUN;
%MEND NUMOBS;

/*-----------------------------------------------------------------
        ITERATE UNTIL THE REMAINING DOMAINS HAVE NHO GREATER THAN
        THE PREVIOUS SAMPLE SIZES
-----------------------------------------------------------------*/
%MACRO ITERATE;
%OPTALLO(STE,DOM&ITE,POPSIZE,VSTAR,OSTAT);

DATA FIN&I STE;
        SET OSTAT;
        IF NHF < NHO THEN FIN = FIN +1;
IF FIN=&I then output FIN&I;
IF FIN = &I + 1 then output STE;
RUN;

%VAR(FIN&I,DOM&ITE,POPSIZE,NHF,SUMMARY);

DATA STE;
        MERGE STE (IN=A) SUMMARY ;BY DOM&ITE;
        IF A;
        IF VSUM=. THEN VSUM=0;****SHULD EXIST!!!;
        VSTAR= VSTAR - VSUM/DSUM&ITE**2;
        DROP VSUM;
RUN;
%MEND ITERATE;

/*-----------------------------------------------------------------
        MAIN PART OF THE PROGRAM:  'ITE' INDICATES THE LEVEL OF DOMAINS
-----------------------------------------------------------------*/
```

```
%MACRO MPART(ITE);
PROC SORT data=indata;BY DOM&ITE;RUN;

%VAR(INDATA,DOM&ITE,POPSIZE,NHF,SUMMARY);

DATA CHKVAR;***TO COMPARE THE VARIANCE TO THE PRECISION REQUIREMENT;
        MERGE SUMMARY INDATA;BY DOM&ITE;
        FIN=1;
        MARGIN=SQRT((VSUM/DSUM&ITE**2)*1.96**2)/HL&ITE;
        IF MARGIN > 1 THEN FIN=FIN+1;
        DROP VSUM MARGIN; /* SHOULD DROP 'VSUM'VARIABLE HERE !!! */
RUN;

***DATA SET INCLUDING STRATA HAVING FINAL SAMPLE SIZE AT THIS STEP***;

DATA FIN1 STE;
        SET CHKVAR;BY DOM&ITE;
        VSTAR=(HL&ITE/1.96)**2;
IF FIN=1 then output FIN1;
IF FIN=2 then output STE;
RUN;

%NUMOBS(STE);

%LET I = 1;
%IF &NUM=0 %THEN %GOTO FDSN;
/*-------------------------------------------------------------------------
--
        ITERATE MACRO TO UPDATE SAMPLE SIZES TO MEET THE PRECISION
REQUIREMENTS
        THIS PART NEEDS TO BE REFINED TO ALLOW TO STOP THE PROGRAM WHENEVER
NEEDED
-------------------------------------------------------------------------
---*/
%DO %UNTIL(&NUM = 0);
        %LET I = %EVAL(&I +1);
        %ITERATE;
        %NUMOBS(FIN&I);
%END;
/*-----------------------------------------------------------------------
        GIVE THE REMAINING DOMAINS OPTIMAL SAMPLE SIZES
-----------------------------------------------------------------------
*/
%LET I = %EVAL(&I +1);
DATA FIN&I;SET STE;
        NHF = NHO;
RUN;
/*-------------------------------------------------------------------------
        COMBINE THE DATASETS INTO ONE
-------------------------------------------------------------------------
*/
%FDSN:
DATA STEP9;
        SET FIN1;

%DO J=2 %TO &I;
        DATA STEP9;
                SET STEP9 FIN&J;
        RUN;
```

```
%END;
%MEND MPART;

*************************************************************************
*       START THE MAIN PROGRAM:
-------------------------------------------------------------------------;
DATA INDATA;
        SET IN.countc;
        DOM0 = sampstr/*STRATUM*/;
        dom1 = SUPREG;
        DOM2 = enlsmpl;
        DOM3 = agesmpl;
        DOM4 = 1;
        popsize = psum0;
        dsum1 = psum1;
        dsum2 = psum2;
        dsum3 = psum3;
        dsum4 = total;
        de = 1;
*********************************************
*       SET INITIAL SAMPLE SIZES
*********************************************;
        NUM=&Z**2*DE*&SSQUARE/&HLA0**2;
        NHZERO=NUM/(1+(NUM-1)/POPSIZE);
        NHF = NHZERO;
*********************************************
*       PRECISION REQUIREMENTS
**----------------------*************************;
        HL1 = 0.02;     ***FOR SUPER REGIONS******;
        HL4 = 0.01;     ***FOR THE WHOLE*********;
        DROP NUM;
RUN;
*-------------------------------------------------------------------------
***
*       ADJUST INITIAL SAMPLE SIZE TO SATISFY THE DOM&ITE PRECISION
REQUIREMENT
-------------------------------------------------------------------------
*;
%MPART(1);
**-----------------------------------------------------------------------
*       CTEATE STATUS&ITE SO THAT FIN VALUES CAN REFLECT ITE TOO
-------------------------------------------------------------------------
*;
DATA INDATA;SET STEP9;
        STATUS1=10+FIN;
DROP FIN;
RUN;

*********************************************************
*       ACCOUNT FOR OVERALL PRECISION REQUIREMENT
*********************************************************;
%mpart(4)
DATA FINAL;SET STEP9;
        STATUS4=40+FIN;
        NHF4=NHF;
        VH=POPSIZE**2*((POPSIZE-NHF)/(POPSIZE-1))*DE*&SSQUARE/NHF;
RUN;
*-------------------------------------------------------------------------
        CHECK IF THE FINAL SAMPLE SIZES MEET ALL PRECISION REQUIREMENTS
```

```
-------------------------------------------------------------------------;
PROC SORT DATA=FINAL;BY DOM1;RUN;
PROC MEANS NOPRINT DATA=FINAL;VAR VH;BY DOM1;
        OUTPUT OUT=FDATA1 SUM=V1;
RUN;
DATA FINAL;MERGE FINAL FDATA1;BY DOM1;

PROC SORT DATA=FINAL;BY DOM2;RUN;
PROC MEANS DATA=FINAL NOPRINT;VAR VH;BY DOM2;
        OUTPUT OUT=FDATA2 SUM=V2;
RUN;
DATA FINAL;MERGE FINAL FDATA2;BY DOM2;

PROC SORT data=final;BY DOM3;RUN;
PROC MEANS DATA=FINAL NOPRINT;VAR VH;BY DOM3;
        OUTPUT OUT=FDATA3 SUM=V3;
RUN;
DATA FINAL;MERGE FINAL FDATA3;BY DOM3;
PROC MEANS DATA=FINAL NOPRINT;VAR VH;
        OUTPUT OUT=FDATA4 SUM=V4;
RUN;
DATA FINAL;IF _N_ = 1 THEN SET FDATA4;
        SET FINAL;
        P0=SQRT(((POPSIZE-NHF)/(POPSIZE-1))*DE*&SSQUARE/NHF)*1.96;
        P1=SQRT((V1/DSUM1**2)*1.96**2);
        P2=SQRT((V2/DSUM2**2)*1.96**2);
        P3=SQRT((V3/DSUM3**2)*1.96**2);
        P4=SQRT((V4/DSUM4**2)*1.96**2);
RUN;
*****************************************************
*       ACCOUNT FOR EXPECTED RESPONSE RATES
*****************************************************;
DATA RESP;
        SET FINAL;
        NHFF=INT(NHF/0.32)+1; /*Add unweighted response rate from prior
year*/;
RUN;

DATA LAST;SET RESP;
        nhf = int(nhf)+1;
        nhzero = int(nhzero)+1;
        BWT = POPSIZE/NHFF;
PROC SORT data=LAST;BY DOM0;run;
PROC MEANS DATA=LAST;VAR NHZERO nhf NHFF BWT;RUN;
PROC PRINT DATA=LAST;VAR DOM0 DOM1 P0 P1 DOM2 P2 DOM3 P3 p4 POPSIZE NHFF;sum
nhff; RUN;
*************************************************************
*       CREATE THE DATA SET CONTAINING THE FINAL SAMPLE SIZES
*************************************************************;
DATA IN.samsizec;
        SET LAST;
        KEEP sampstr/*STRATUM*/ POPSIZE NHFF;
RUN;
```

### 3. Drawing the Child Sample

```
*********************************************************************
*** Project:   2004 Health Care Survey of DoD Beneficiaries - Child
***
*** Purpose: Select the child sample from the child sampling frame.
***
*** Date:       April 30, 2002
*** Programmer: Nancy A. Clusen
***
*** Program:  F:\DOD\Q3_2004\Programs\Sampling\samplc01.sas,
***          Selects the sample from the child sampling frame.
***
*** Inputs:   F:\DOD\Q3_2004\Data\Cfinal\framec.sd2
***          Child sampling frame created from the extracted DoD data set.
***
***           F:\DOD\Q3_2004\Data\Cfinal\samSIZEC.sd2
***          Sample size information for each stratum.
***
*** Outputs: F:\DOD\Q3_2004\Data\Cfinal\samplc.sd2
***          The child sample created from the child sampling frame.
***
*** Notes: None
***
*** Updated: Haixia Xu on 04/16/2004 for 2004 Child sampling
*********************************************************************;

*** Setup the titles. ***;
title1 '2004 Health Care Survey of DoD Beneficiaries - Child';
title2 'Program: F:\DOD\Q3_2004\Programs\Sampling\samplc01.sas by Nancy';
title3 'Select the child sample from the child sampling frame.';

*** Setup the options. ***;
options ls=132 ps=79 nocenter compress=yes;

*** Setup the paths where the files are located. ***;
libname in  v6 'F:\DOD\Q3_2004\Data\Cfinal\';
libname out v6 'F:\DOD\Q3_2004\Data\Cfinal\';

*** Change the information in the csize data set to conform to proc
surveyselect. ***;
title5 'Information for the samSIZEC.SD2 Data Set';
proc contents data=in.samsizec;
run;

data sam_size (keep = sampstr _nsize_);
set in.samsizec (rename = (nhff = _nsize_));
run;

proc contents data=sam_size;
run;

title5 'Information for the FRAMEC.SD2 Data Set';
data framec;
set in.framec;
run;

proc contents data=framec;
run;
```

```
proc sort data=framec;
by sampstr;
run;

proc sort data=sam_size;
by sampstr;
run;

title5 'Information for the Child Sample';
proc surveyselect
   data = framec
   out = samplc
   method = sys
   sampsize = sam_size
   sort = serp
   seed = 4930766
   stats;
strata sampstr;
control famcode regsmpl age_n sexsmpl;
run;

data out.samplc01;
   set samplc (rename = (samplingweight = BWT selectionprob = SEL_PROB));
run;

title5 'Check for Multiple Children with the Same Sponsor';
proc freq data=out.samplc01 noprint;
table famcode / list missing out=m_fam;
run;

data m_fam;
set m_fam (keep = famcode count);
if count > 1 then output m_fam;
run;

proc print data=m_fam;
run;

proc sort data=out.samplc01;
by famcode;
run;

proc sort data=m_fam;
by famcode;
run;

data out.multifam mf_only s_only;
merge out.samplc01 (in = in_s) m_fam (in = in_mf);
by famcode;
if in_s = 1 and in_mf = 1 then output out.multifam;
else if in_s = 0 and in_mf = 1 then output mf_only;
else if in_s = 1 and in_mf = 0 then output s_only;
run;

proc freq data=out.multifam;
table famcode*sampstr / list missing;
run;
```

```
title5 ’Check the Actual Stratum Sizes’;
proc freq data=out.samplc01;
table stratum / list missing;
run;

title5 ’Region, Age, and Enrollee Group for the Frame’;
proc freq data=in.framec;
table regsmpl age_n enlsmpl sexsmpl / list missing;
run;

title5 ’Region, Age, and Enrollee Group for the Sample’;
proc freq data=out.samplc01;
table regsmpl age_n enlsmpl sexsmpl / list missing;
run;

title5 ’Cross of Region and Age for the Frame and Sample’;
proc freq data=in.framec noprint;
table regsmpl*age_n / list missing out=frmpct;
run;

data frmpct (keep = regsmpl age_n frame);
set frmpct (rename = (percent = frame));
run;

proc freq data=out.samplc01 noprint;
table regsmpl*age_n / list missing out=smplpct;
run;

data smplpct (keep = regsmpl age_n sample);
set smplpct (rename = (percent = sample));
run;

proc sort data=frmpct;
by regsmpl age_n;
run;

proc sort data=smplpct;
by regsmpl age_n;
run;

data percents;
merge frmpct smplpct;
by regsmpl age_n;
run;

proc print data=percents;
var regsmpl age_n frame sample;
sum frame sample;
run;

title5 ’Sample Counts’;
proc freq data=out.samplc01;
table sampstr / list missing;
run;

title5 ’Sampling Weight by Stratum’;
proc freq data=out.samplc01;
table sampstr*BWT / list missing;
run;
```

```
title5 'Weighted Sample Counts';
proc freq data=out.samplc01;
table sampstr / list missing;
weight BWT;
run;

title5 'Frame Counts';
proc freq data=in.framec;
table sampstr / list missing;
run;

*************************************************************************
* Create the ENBGSMPL variable.
* Note: This var was created in samplc03.sas in q3 2000.
*************************************************************************;

DATA out.samplc01;
   SET out.samplc01;

select (patcat);
   when ('ACTDTY') ENBGSMPL='01';
   when ('DEPACT')
      do;
         select (pcm);
            when ('CIV') ENBGSMPL='02';
            when ('MTF') ENBGSMPL='03';
            when (' ')   ENBGSMPL='04';
            otherwise ENBGSMPL='c';
         end;
      end;
   when('NADD<65')
      do;
         select (pcm);
            when ('CIV') ENBGSMPL='05';
            when ('MTF') ENBGSMPL='06';
            when (' ')   ENBGSMPL='07';
            otherwise ENBGSMPL='d';
         end;
      end;
   when('NADD65+') ENBGSMPL='10';
   when('UNKNOWN')
      do;
         if pntypcd='S' then
            do;
              if pnlcatcd in ('A','J','N','V') then ENBGSMPL='01';
              else if dageqy = ' ' then ENBGSMPL='f';
              else if dageqy <= '064' then
                 do;
                    select (pcm);
                       when ('CIV') ENBGSMPL='05';
                       when ('MTF') ENBGSMPL='06';
                       when (' ')   ENBGSMPL='07';
                       otherwise    ENBGSMPL='g';
                    end;
                 end;
              else if dageqy > '064' then ENBGSMPL='10';
            end;
         else if pntypcd='D' then
```

```
            do;
               if pnlcatcd in ('A','J','N','V') then
                  do;
                     select (pcm);
                        when ('CIV') ENBGSMPL='02';
                        when ('MTF') ENBGSMPL='03';
                        when (' ')   ENBGSMPL='04';
                        otherwise    ENBGSMPL='h';
                     end;
                  end;
               else if dageqy = ' ' then ENBGSMPL='i';
               else if dageqy <= '064' then
                     do;
                        select (pcm);
                           when ('CIV') ENBGSMPL='05';
                           when ('MTF') ENBGSMPL='06';
                           when (' ')   ENBGSMPL='07';
                           otherwise    ENBGSMPL='j';
                        end;
                     end;
               else if dageqy > '064' then ENBGSMPL='10';
            end;
         else ENBGSMPL='e';
      end;
   otherwise ENBGSMPL='b';
end;
DROP PATCAT PNTYPCD PNLCATCD;
LABEL ENBGSMPL = 'ENBGSMPL-Enrollment Beneficiary group';
RUN;

PROC FREQ;
   TABLES ENBGSMPL dageqy ENBGSMPL*dageqy /MISSING LIST;
RUN;


************************************;
*CREATE IN_HOUSE AND CLIENT DATASETS***;
************************************;

data out.samplc;
set out.samplc01;
run;

proc contents;
run;

data out.samplc01 (keep= AGESMPL AGE_N BWT DAGEQY DHSRGN ENLSMPL FAMCODE
                         MPRID PCM REGSMPL SAMPSTR SEL_PROB SEXSMPL STRATUM
SUPREG ENBGSMPL);
set out.samplc01;
run;

proc contents;
run;
```

# APPENDIX E

## TECHNICAL BACKGROUND IN DETERMINING THE SAMPLE SIZES

# Technical Background for the Algorithm

To attain the required half-length *HL* for confidence intervals, the required sample size *n* was obtained while incorporating finite population correction factors.

For simple random samples (SRS) of size *n* from finite populations of size *N*, the variance of *p* is:

(E.1) $$V_{SRS}(p) = \frac{P(1-P)}{n}\left(\frac{N-n}{N-1}\right)$$

Because the expected sample sizes for all strata for the 2004 HCSDB Child survey are sufficiently large, the standard formula (4.1) in Chapter IV can be used in constructing the confidence interval of *P*. Let *B* denote the required half-length interval for *P*. With the variance of *P*, we can determine the sample size to attain the precision requirement *B* by solving the following equation with respect to *n*:

(E.2) $$B = z_{1-\alpha/2}\sqrt{\frac{P(1-P)}{n}\left(\frac{N-n}{N-1}\right)}$$

implies

(E.3) $$n = \frac{\frac{z^2_{1-\alpha/2}\ [P(1-P)]}{B^2}}{1+\frac{1}{N}\left(\frac{z^2_{1-\alpha/2}\ [P(1-P)]}{B^2}\right)}$$

This formula was used as the first step in determining initial sample sizes for all strata in the 2004 HCSDB.

Note from formula (E.3), sample sizes vary according to values of the proportion *P*. As *P* becomes closer to 0.5, *n* becomes larger. Because characteristics of interest of this survey could have values ranging from zero to one, the resulting sample sizes lie within a wide range of values with the largest value associated with *P*=0.5. For sample size determination, we used a *P* value of 0.5, which ensures that the sample size will be large enough to meet or exceed the predetermined precision requirement for all proportions to be estimated.

Since the sample size is being defined to construct a 95 percent interval for P = 0.5 with a half-length interval less than or equal to B, $z_{1-\alpha/2}$ can be replaced with $z_{.975}$ which is 1.96. Formula (E.3) can then be specified as the following:

(E.4)
$$n = \frac{\frac{.9604}{B^2}}{1 + \frac{1}{N}\left(\frac{.9604}{B^2}\right)}$$

where .9604 was obtained from $z_{.975}\,P(1-P)$ with $P$ = 0.5. The formula (E.4) can then be applied to determine the sample size to achieve $B$ in estimating stratum-level estimates.

Recall that the 2004 HCSDB employs a stratified sample design. Since we wish to estimate the proportion of beneficiaries from domain $d$ having a certain characteristic, an estimate of the proportion $P_d$ can be obtained as the weighted sum of stratum-level proportion estimates:

(E.5)
$$p_d = \sum_{h \in d} \frac{N_h}{N_d(+)}\ p_h\,,$$

where $N_h$ is the population size for stratum $h$, $N_d(+)$ is the sum of $N_h$ over domain $d$, and $p_h$ is the estimated proportion for the $h$-th stratum. Since the sampling is independent across strata, the variance of estimated proportion $p_d$ is the sum of stratum-level variances:

(E.6)
$$V_d = \sum_{h \in d}\left(\frac{N_h}{N_d}\right)^2\left(\frac{N_h - n_h}{N_h - 1}\right)\frac{P_h(1-P_h)}{n_h}$$

where $n_h$ is the sample size in stratum $h$ and $P_h$ is the stratum-level proportion for stratum $h$. Like the single stratum case, all stratum-level proportions are assumed to be 0.5, and thus the formula (E.6) can be reduced to the following:

(E.7)
$$V_d = \sum_{h \in d}\left(\frac{N_h}{N_d}\right)^2\left(\frac{N_h - n_h}{N_h - 1}\right)\frac{.25}{n_h}$$

The minimum sample size satisfying the requirements for a predetermined half-length interval $B_d$ is:

(E.8)
$$n_d = \frac{\left(\sum_{h \in d}\frac{N_h}{N_d}\sqrt{\frac{N_h}{N_h - 1}}\sqrt{P_h(1-P_h)}\right)^2}{\frac{B_d^2}{z_{1-\alpha/2}^2} + \sum_{h \in d}\frac{N_h^2}{N_d^2}\left(\frac{1}{N_h - 1}\right)P_h(1-P_h)}$$

With the same specifications above, formula (E.8) can be specified as:

(E.9)
$$n_d = \frac{.25\left(\sum_{h\in d}\frac{N_h}{N_d}\sqrt{\frac{N_h}{N_h - 1}}\right)^2}{\frac{B_d^2}{3.8416} + .25\sum_{h\in d}\frac{N_h^2}{N_d^2}\frac{1}{N_h - 1}},$$

where $P_h(1 - P_h) = (.5)(.5) = 0.25$ for all $h$ and $z^2_{.975} = 3.8416$.

The domain sample size $n_d$ in (E.9) is based on the following optimal stratum sample sizes:

(E.10)
$$n_h = n_d \frac{N_h\sqrt{\frac{N_h}{N_h - 1}}\sqrt{P_h(1 - P_h)}}{\sum_{h\in d} N_h\sqrt{\frac{N_h}{N_h - 1}}\sqrt{P_h(1 - P_h)}}$$

Likewise, this formula becomes

(E.11)
$$n_h = n_d \frac{N_h\sqrt{\frac{N_h}{N_h - 1}}}{\sum_{h\in d} N_h\sqrt{\frac{N_h}{N_h - 1}}}$$

After the stratum size for eligible respondents was finally determined, an anticipated response rate $R$ was incorporated to get the final stratum sample size:

(E.12)
$$n_{h,F} = \frac{n_h}{R}$$