

DHP Reprogramming Guidance

**Briefing to the Congressional Defense Committees in Response to the
Joint Explanatory Statement, page 90, Accompanying H.R. 133, the
Consolidated Appropriations Act, 2021, for Quarterly Briefings on Budget
Execution Data for all Defense Health Program Budget Activities
September 2021**

OASD(HA)



PERSONNEL AND READINESS



Defense Health Program
Quarterly Budget Execution
Fourth Quarter, Fiscal Year 2021

- Issue: As requested in the Joint Explanatory Statement, page 90, Accompanying H.R. 133, the Consolidated Appropriations Act, 2021 (Public Law 116-260), the fourth quarter fiscal year 2021 budget execution data for all Defense Health Program (DHP) Activities is below.
- Briefing Request: Requests the Assistant Secretary of Defense for Health Affairs to provide quarterly briefings to the congressional defense committees on budget execution data for all of the DHP budget activities.
- Summary: The cumulative fourth quarter Operation and Maintenance budget execution rate is 99% percent.

Appropriation*	Budget	Obligations
Operation and Maintenance	\$31,622,184,784	\$31,576,128,000
Research, Development, Test and Evaluation	\$2,395,081,047	\$551,894,000
Procurement	\$444,369,000	\$284,364,000

* Attachment includes additional information for each appropriation



Q4 - DHP Execution for FY2021

FY 2021 Operation and Maintenance

Budget Activity Group	Title	Base Budget Request	OCO Budget Request	Total Request	Base Budget Enacted	OCO Budget Enacted	Total Enacted ¹	Adjustments Required by Statute ²	Above Threshold Reprogrammings ³	Internal Adjustments ^{4,5,6}	Annual Funded Program (AFP) September 30, 2021	Base Obligations	OCO Obligations	Cumulative Obligations September 30, 2021
1	In House Care	9,560,564.000	65,072.000	9,625,636.000	9,008,351.000	65,072.000	9,073,423.000		231,000.000	2,203,784	9,306,626.784	9,221,557.000	51,977.000	9,273,534.000
2	Private Sector Care	15,841,887.000	296,828.000	16,138,715.000	15,711,537.000	296,828.000	16,008,365.000		332,100.000		16,340,465.000	16,086,410.000	296,828.000	16,383,238.000
3	Consolidated Health Support	1,338,269.000	3,198.000	1,341,467.000	1,333,869.000	3,198.000	1,337,067.000	(148,500.000)	22,000.000	99,424.000	1,309,991.000	1,274,058.000	5,477.000	1,279,535.000
4	Information Management	1,901,336.000		1,901,336.000	1,950,465.000		1,950,465.000		78,000.000	63,612.000	2,092,077.000	2,088,922.000		2,088,922.000
4CY	Information Management - Cyber Security	138,574.000		138,574.000	138,574.000		138,574.000			(1,665.000)	136,909.000	136,349.000		136,349.000
5	Management Activities	330,627.000		330,627.000	330,627.000		330,627.000		8,142.000	8,142.000	338,769.000	337,725.000		337,725.000
6	Education and Training	315,691.000		315,691.000	335,685.000		335,685.000			(12,656.000)	323,029.000	310,507.000		310,507.000
7	Base Operations & Communications ²	1,922,605.000		1,922,605.000	1,930,210.000		1,930,210.000	10,000.000		(155,892.000)	1,784,318.000	1,776,318.000		1,776,318.000
	Total O&M	31,349,553.000	365,098.000	31,714,651.000	30,739,318.000	365,098.000	31,104,416.000	(138,500.000)	663,100.000	3,168,784	31,632,184.784	31,231,846.000	354,282.000	31,586,128.000

NOTE: Totals do not include anticipated annual Medicare Eligible Retiree Health Care Fund (MERHCF) distributions of \$1,995,500.

¹ Enacted includes congressional adjustments totaling \$-473,279 thousand to specific BAGs, \$-128,615 thousand to unspecific BAGs, and general provision adjustments total \$-8,341 thousand for favorable exchange rates to BAG1.

² Includes funding for Fisher House Foundation construction and furnishing of additional Fisher Houses (BAG7 \$+10,000) and mandatory transfer to Department of Veterans Affairs for Federal Health Care Center (FHCC) (BAG3 \$-137,000) and transfer to DoD/VA Joint Incentive Fund (JIF) (BAG3 \$-15,000) for annual requirements per Congressional language. Transfer from Drug Demand Reduction Program (DDRP) to BAG3 for \$+3,500 (FY 21-01 IR and FY21-11 IR).

³ Approved Congressional Prior Approval Reprogramming: FY-21-11 PA: FY21/23 Defense Health Program Procurement (-\$100,000) and other Defense Appropriations (\$-563,100) to In House Care (BAG1 \$+231,000), Private Sector Care (BAG2 \$+332,100), Consolidated Health Support (BAG3 \$+22,000), and Information Management (BAG4 \$+78,000) to support COVID-19 requirements.

⁴ Approved Department of Defense Internal Reprogramming: FY-21-19 IR: Foreign Currency Fluctuations, Defense to FY 21-21 Defense Health Program Operation and Maintenance (O&M) -- Consolidated Health Support (BAG3 \$+6,695). FY-21-20 IR: FY 21-21 Defense Health Program Operation and Maintenance (O&M) -- Education and Training (BAG6 \$-6,000) in support of Health Professions Scholarship Program (HPSP) in the FY 2021 enacted budget to other Defense appropriations (O&M, Army, \$+2,300; O&M Navy, \$+1,782; and O&M Air Force, \$+1,918).

⁵ Direct collection for COVID emergency paid leave per OPM and OMB guidance to In House Care (BAG1 \$+2,203,784). Reimbursements received from OPM's Emergency Federal Employee Leave Fund (sec. 4001 of P.L.117-2).

⁶ Defense Health Program's internal Below Threshold Reprogramming (BTR) adjustments in support of COVID-19 requirements to BAG3 \$+92,459, BAG4 \$+63,612, and BAG5 \$+8,142 from BAG4CY \$-1,665, BAG6 \$-6,656, and BAG7 \$-155,892.

FY 2021/2022 Research, Development, Test and Evaluation ^{1,2,3,4}

	562,465.000	-	562,465.000	2,392,579.000	-	2,392,579.000	-	2,500.000	2,047	2,395,081.047	551,894.000	-	551,894.000
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¹ Enacted includes \$1,830,114 thousand for congressional research adjustments.

² RDT&E funds set aside for Small Business Innovation Research (SBIR) program amount is \$63,080, and for Small Business Technology Transfer (STTR) program amount is \$8,872.

³ Approved Congressional Prior Approval Reprogramming: FY-21-14 PA: FY 21-21 USSOCOM Operation and Maintenance, \$-2,500 to FY 21-22 DHP RDT&E, \$+2,500.

⁴ Direct collection for COVID emergency paid leave per OPM and OMB guidance to FY21-22 DHP RDT&E (\$+2,0472). Reimbursements received from OPM's Emergency Federal Employee Leave Fund (sec. 4001 of P.L.117-2).

FY 2021/2023 Procurement ^{1,2}

	617,926.000	-	617,926.000	544,369.000	-	544,369.000	-	(100,000.000)	-	444,369.000	284,364.000	-	284,364.000
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¹ Enacted includes congressional adjustments totaling \$-73,557 thousand.

² Approved Congressional Prior Approval Reprogramming: FY-21-11 PA: FY21/23 Defense Health Program Procurement (\$-100,000) to Consolidated Health Support (BAG3 \$+22,000) and Information Management (BAG4 \$+78,000) to support COVID-19 requirements.

**Air Force Medical Readiness Activities
Fiscal Year (FY) 2021 Budget Execution
Data as of: 30 September 2021**

Financial Summary:

	FY 2021		
	<u>Obligations to Date</u>	<u>Appropriated</u>	<u>% Obligated</u>
<u>Operations & Maintenance</u>			
TOTAL , BA 01: Operating Forces	0	0	0
TOTAL , BA 02: Mobilization	394,392	423,764	93%
TOTAL , BA 03: Training and Recruiting	0	0	0
TOTAL , BA 04: Admin & Srvwide Activities	0	0	0
Total Medical Readiness Activities:	394,392	423,764	93%

Details:

BA 02: Mobilization

Mobilization Operations

<u>SAG</u>	<u>PEC</u>	<u>PEC Title</u>			
			0	0	0
21D	0208038F	Defense Wide Review Medical Readiness	394,392	423,764	93%
Total:			394,392	423,764	93%

Notes:

ARMY Medical Readiness Activities
Fiscal Year (FY) 2021 Budget Execution
Data as of: 30 SEP 2021

Financial Summary:

	FY 2021		
	<u>Obligations to Date</u>	<u>Appropriated</u>	<u>% Obligated</u>
<u>Operations & Maintenance</u>			
TOTAL , BA 01: Operating Forces	1,035,742,934	1,093,926,000	95%
TOTAL , BA 02: Mobilization	0	0	0%
TOTAL , BA 03: Training and Recruiting	0	0	0%
TOTAL , BA 04: Admin & Srvwide Activities	0	0	0%
Total Medical Readiness Activities:	1,035,742,934	1,093,926,000	95%

Details:

BA 02: Mobilization

Mobilization Operations

<u>SAG</u>	<u>PEC</u>	<u>PEC Title</u>	0	0	0%
21D	0208038F	Defense Wide Review Medical Readiness	0	0	0%
Total:			0	0	0%

Notes:

**Execution includes OMA BASE SAGs 121 and 133 for MDEP HDSH*

Navy Medical Readiness Activities
Fiscal Year (FY) 2021 Budget Execution
Data as of: (EOM September 2021)

Financial Summary:

	FY 2021		
	<u>Obligations to Date</u>	<u>Appropriated</u>	<u>% Obligated</u>
BSO 18 (Navy Bureau of Medicine)			
Operations & Maintenance			
TOTAL , BA 01: Operating Forces	25,250	1,496	1687.86%
TOTAL , BA 02: Mobilization	31,945	18,607	171.68%
TOTAL , BA 03: Training and Recruiting	110,278	115,756	95.27%
TOTAL , BA 04: Admin & Srwide Activities	260,375	249,946	104.17%
Total BSO 18 Medical Readiness Activities:	427,849	385,805	110.90%
BSO 52 (Commander, Navy Installations Command)			
Operations & Maintenance			
TOTAL , BA 01: Operating Forces	49,769	102,140	49%
Total BSO 52 Medical Readiness Activities:	49,769	102,140	49%
Total Medical Readiness Activities:	477,618	487,945	98%

Details:

BSO 18

BA 01: Operating Forces

Operating Forces Operations

<u>AGSAG</u>	<u>PEC</u>	<u>PEC Title</u>	<u>Obligations to Date</u>	<u>Appropriated</u>	<u>% Obligated</u>
1B1B	0408036N	Mission and Other Ship Operation	7,638		
BSIT	0807781N	Service Medical IM/IT	1,429	1,496	95.52%
*BSM1	0806276N	Facility Restore & Modernization-CONUS	-4		
BSM1	0806278N	Facility Sustainment - CONUS	4		
BSM1	0806376N	Facility Restore & Modernization-OCONUS	82		
BSM1	0806378N	Facility Sustainment - OCONUS	1,231		
BSM1	0807714N	Other Health Activities	0		
BSS1	0807756N	Environmental Compliance	1,453		
BSS1	0807779N	Facilities Ops - Health Care - CONUS	2,258		
BSS1	0807790N	Visual Info Activities	412		
BSS1	0807795N	Base Comm - CONUS - Health Care	10		
BSS1	0807796N	Base Ops - CONUS - Health Care	10,741		
BSS1	0807979N	Facilities Ops- Health Care - OCONUS	-15		
BSS1	0807996N	Base Ops - OCONUS	11		
Total:			25,250	1,496	1687.86%

BA 02: Mobilization

Mobilization Operations

<u>SAG</u>	<u>PEC</u>	<u>PEC Title</u>	<u>Obligations to Date</u>	<u>Appropriated</u>	<u>% Obligated</u>
2C1H	0701113N	Procurement Operations	31,945	18,607	171.68%
Total:			31,945	18,607	171.68%

BA 03: Training and Recruiting

Training and Recruiting Operations

<u>SAG</u>	<u>PEC</u>	<u>PEC Title</u>	<u>Obligations to Date</u>	<u>Appropriated</u>	<u>% Obligated</u>
3B3K	0806722N	Hlth Care Precommissioning Prof Scholar	76,340	80,885	94.38%
3B3K	0806761N	Ed and Training - Health Care	33,938	34,871	97.33%

Total: 110,278 115,756 95.27%

BA 04: Admin & Srvwide Activities

Admin & Srvwide Activities Operations

<u>SAG</u>	<u>PEC</u>	<u>PEC Title</u>	<u>Obligations to Date</u>	<u>Appropriated</u>	<u>% Obligated</u>
4A1M	0208038N	Admin (FIAR)	52,443	52,686	99.54%
4A1M	0208561N	CIVPERS SERVICES		300	0.00%
4A1M	0708012N	Admin & Svc Wide Activities	732	732	100.00%
4A1M	0807798N	Management Headquarters	413		
4A1M	0901212N	Service Wide Support (NOT OTHERWISE ACCOUNTED FOR)	0	157	0.00%
4A1M	0902498N	Management HQ - Department SPT ACTS	0	716	0.00%
4A8M	0807700N	Def Med Ctrs, Stn Hsps & Clns - CONUS	118,478	162,806	72.77%
4A8M	0807701N	Pharmaceuticals - CONUS	13,621		
4A8M	0807705N	Mil Public Hlth/Occ Health	23,465	7,732	303.47%
4A8M	0807714N	Other Health Activities	1,873	20,219	9.27%
4A8M	0807715N	Dental Care Activities - CONUS	5,201	4,506	115.42%
4A8M	0807724N	Mil Unique Rqmts - Other Med - Hlth Care	21,281		
4A8M	0807790N	Visual Info Activities	1	92	1.17%
4A8M	0808789N	CounterDrug Demand Reduction Activities	22,867		
4B2E	0708008N	Defense Environmental Restoration Program	956		
Total:			260,375	249,946	104.17%

BSO 52

BA 01: Operating Forces

Operating Forces Operations

<u>SAG</u>	<u>PEC</u>	<u>PEC Title</u>	<u>Obligations to Date</u>	<u>Appropriated</u>	<u>% Obligated</u>
BSM1	0806276N	(U)FACILITIES RESTORATION AND MOD-HEALTH CARE	15,081	46,949	32.12%
BSM1	0806278N	(U)FACILITIES SUSTAINMENT - CONUS HEALTH CARE	7,734	7,811	99.01%
BSM1	0806378N	(U)FACILITIES SUSTAINMENT - OCONUS HEALTH CARE	1,445	1,906	75.81%
BSS1	0807756N	(U)ENVIRONMENTAL COMPLIANCE - HEALTH CARE	0	4,201	0.00%
BSS1	0807779N	(U)FACILITIES OPERATION - HEALTH CARE (CONUS)	17,501	21,571	81.13%
BSS1	0807795N	(U)BASE COMMUNICATIONS - CONUS - HEALTH CARE	7,429	7,859	94.53%
BSS1	0807796N	(U)BASE OPERATIONS - CONUS - HEALTH CARE	0	10,247	0.00%
BSS1	0807979N	(U)Facilities Operation - Health Care (OCONUS)	579	614	94.30%
BSS1	0807996N	(U)BASE OPERATIONS - OCONUS - HEALTH CARE (BASOPS)	0	980	0.00%
Total:			49,769	102,138	48.73%

Notes:

*BSO-18, BSM1 PE 0806276N reflects a negative due to reimbursable applied to direct. This will be corrected by BSO 18
 Over execution is reflected, however through the fiscal year, control changes were done. One particular major change was a RRN from BSO-52 to BSO-18 for BA:01.
 in the amount of \$22M.
 Obligation percentages based on Appropriated amounts instead of Approved Program amounts cause a skewed vision of current obligation rates due to controls changes throughout fiscal year.
 All SAG's/PE's closed the year under their cumulative apportioned amounts.

Sources:

BSO 18 Obligations: STARS-FL/SMART Pull Cumulative FY 2021
 BSO 18 Appropriations: PBIS Enacted Controls
 BSO 52 Obligations: CNIC's Should provide End of Month
 BSO 52 Appropriations: PBIS Enacted Controls